

DEPARTMENT OF COMMERCE**Economic Development
Administration****[Docket No. 950302065-6353-06]****RIN 0610-ZA03****Economic Development Assistance
Programs—Availability of Funds****AGENCY:** Economic Development Administration (EDA), Department of Commerce (DoC).**ACTION:** Notice.

SUMMARY: The Economic Development Administration (EDA) announces its policies and application procedures during fiscal year 1997 to support projects designed to alleviate conditions of substantial and persistent unemployment and underemployment in economically-distressed areas and regions of the Nation, to address economic dislocations resulting from sudden and severe job losses, and to administer the Agency's programs.

DATES: This announcement is effective for applications considered for fiscal year 1997. Unless otherwise noted below, applications are accepted on a continuous basis and will be processed as funds are available. Normally, two months are required for a final decision after the receipt of a completed application that meets all EDA requirements.

ADDRESSES: Interested parties should contact the EDA office in their area, or in Washington, D.C., as appropriate (see Section XII).

FOR FURTHER INFORMATION CONTACT: See information in Section XII for the EDA regional office and Economic Development Representative, or for programs handled out of Washington, D.C., as appropriate.

SUPPLEMENTARY INFORMATION:**I. General Policies**

In light of its limited resources and the demonstrated widespread need for economic development, EDA encourages only project proposals having the greatest potential to benefit areas experiencing or threatened with substantial economic distress. EDA will focus its scarce financial resources on communities most in distress. Distress may exist in a variety of forms, including high levels of unemployment, low income levels, large concentrations of low income families, significant decline in per capita employment, substantial loss of population because of the lack of employment opportunities, large numbers (or high rates) of business

failures, sudden major layoffs or plant closures, and/or reduced tax bases.

Potential applicants are responsible for demonstrating to EDA, through the provision of statistics and other appropriate information, the nature and level of the distress their project efforts are intended to alleviate. In the absence of evidence of high levels of distress, EDA funding is unlikely.

In FY 1997, EDA's strategic funding priorities are a continuation of the general goals in place over the past four fiscal years, refined to reflect the priorities of the U.S. Department of Commerce. Unless otherwise noted below, the funding priorities, as listed below, will be applied by the Selecting Official (depending upon the program, either the Regional Director or Assistant Secretary) after completion of a review based upon evaluation criteria described in EDA's regulations at 13 CFR Chapter III. During FY 1997, EDA is interested in receiving projects which support the priorities of the U.S. Department of Commerce, including:

- Export promotion;
- The commercialization and deployment of technology; particularly information technology and telecommunications, and efforts that support technology transfer, application and deployment for community economic development;
- Sustainable development which will provide long-term economic development benefits, including responses to economic dislocation caused by national environmental policies (hazardous waste clean-up, etc.); also considered a priority are projects involving reuse of "brownfields," especially pilot projects selected under the Environmental Protection Agency's "Brownfields Economic Redevelopment Initiative" program; also considered priority are projects involving eco-industrial parks, which have been broadly defined by the President's Council on Sustainable Development, as a community of businesses that cooperate with each other and with the local community to efficiently share resources (information, materials, water, energy, infrastructure and natural habitat), leading to economic gains, gains in environmental quality, and equitable enhancement of human resources for the business and local community;

- Entrepreneurial development, especially local capacity building, and including small business incubators and community financial intermediaries (e.g., revolving loan funds);
- Economic adjustment, especially in response to base and Federal laboratory closures and downsizing, defense

industry downsizing, and post-disaster, long-term economic recovery;

- Infrastructure and development facilities located in federally-authorized and designated rural and urban Enterprise Communities and Empowerment Zones and state enterprise zones;

- Projects that demonstrate innovative approaches to economic development; and/or

- Projects that support locally-created partnerships that focus on regional solutions for economic development will be given priority over proposals that are more limited in scope. For example, projects that evidence collaboration in fostering an increase in regional (multi-county and/or multi-state) productivity and growth will be considered to the extent that such projects demonstrate a substantial benefit to economically-distressed areas of the region.

II. Other Information and Requirements

- See EDA's regulations at 13 CFR Chapter III.

- Additional information and requirements are as follows:

No award of Federal funds will be made to an applicant who has an outstanding delinquent Federal debt until either:

1. The delinquent account is paid in full;
2. A negotiated repayment schedule is established and at least one payment is received; or
3. Other arrangements satisfactory to DoC are made.

Unsatisfactory performance under prior Federal awards may result in an application not being considered for funding.

Applicants should be aware that a false statement on the application is grounds for denial of the application or termination of the grant award and grounds for possible punishment by a fine or imprisonment as provided in 18 U.S.C. 1001.

Applicants are hereby notified that any equipment or products authorized to be purchased with funding provided under this program must be American-made to the maximum extent feasible.

Notwithstanding any other provisions of law, no person is required to respond to, nor shall a person be subject to a penalty for failure to comply with a collection of information subject to the requirements of the Paperwork Reduction Act (PRA) unless that collection of information displays a currently valid Office of Management and Budget (OMB) control number. This notice involves a collection of information requirement subject to the

provisions of the PRA and has been approved by OMB under Control Number 0610-0094.

Applicants seeking an early start, i.e. to begin a project before EDA approval, must obtain a letter from EDA allowing such early start. Such approval may be given with the understanding that an early start does not constitute project approval. Applicants should be aware that if they incur any costs prior to an award being made they do so solely at their own risk of not being reimbursed by the Government. Notwithstanding any verbal or written assurance that may have been received, there is no obligation on the part of DoC to cover preaward costs.

EDA also requires that compliance with environmental regulations, in accordance with the National Environmental Policy Act (NEPA), be completed before construction begins.

The total dollar amount of the indirect costs proposed in an application under any EDA program must not exceed the indirect cost rate negotiated and approved by a cognizant Federal agency prior to the proposed effective date of the award or 100 percent of the total proposed direct costs dollar amount in the application, whichever is less.

If an application is selected for funding, EDA has no obligation to provide any additional future funding in connection with an award. Renewal of an award to increase funding or extend the period of performance is at the sole discretion of EDA.

Unless otherwise noted below, eligibility, program objectives and descriptions, application procedures, selection procedures, evaluation criteria and other requirements for all programs are set forth in EDA's regulations at 13 CFR Chapter III.

III. Funding Availability

Under EDA's fiscal year 1997 appropriation, Public Law 104-208, September 30, 1996, EDA's program funds total \$328,500,000. EDA has already received and begun processing requests for funding its programs during fiscal year 1996, and the first quarter of fiscal year 1997. New requests submitted that require approval during this fiscal year will face substantial competition. Potential applicants are encouraged to contact first the appropriate EDR for their area and then, if necessary, the appropriate Regional Office listed in Section XII of this Notice.

IV. Authority

The authority for programs listed in Parts V through X is the Public Works and Economic Development Act of

1965, (Pub. L. 89-136, 42 U.S.C. 3121-3246h), as amended (PWEDA). The authority for the program listed in Part XI is Title II, Chapter 3 of the Trade Act of 1974, as amended, (19 U.S.C. 2341-2355) (Trade Act).

V. Program: Public Works and Development Facilities Assistance

(Catalog of Federal Domestic Assistance: 11.300 Economic Development Grants and Loans for Public Works and Development Facilities. 11.304 Economic Development Public Works Impact Program)

Funding Availability

Funds in the amount of \$165,200,000 have been appropriated for this program. The average funding level for a grant is \$1,000,000.

VI. Program: Technical Assistance—Local Technical Assistance; National Technical Assistance; University Centers

(Catalog of Federal Domestic Assistance: 11.303 Economic Development—Technical Assistance)

Funding Availability

Funds in the amount of \$9,100,000 have been appropriated for this program. The average funding level for a grant is \$176,000.

A separate FR Notice will set forth the specific funding priorities, application process, and time frames for National Technical Assistance projects.

VII. Program: Planning—Planning Assistance for Economic Development Districts, Indian Tribes, and Redevelopment Areas; Planning Assistance for States and Urban Areas

(Catalog of Federal Domestic Assistance: 11.302 Economic Development—Support for Planning Organizations); 11.305 Economic Development—State and Urban Area Economic Development Planning)

Funding Availability

Funds in the amount of \$24,000,000 have been appropriated for this program. The average funding levels for planning grants range from \$43,000 to \$107,000.

VIII. Program: Research and Evaluation

(Catalog of Federal Domestic Assistance: 11.312 Economic Development—Research and Evaluation Program)

Funding Availability

Funds in the amount of \$500,000 have been appropriated for this program. The average funding level for a grant is \$171,000.

A separate FR Notice will set forth the specific funding priorities, application process and time frames for research and evaluation projects.

IX. Program: Economic Adjustment Assistance

(Catalog of Federal Domestic Assistance: 11.307 Special Economic Development and Adjustment Assistance Program—Long Term Economic Deterioration and Sudden and Severe Economic Dislocation)

Funding Availability

Funds in the amount of \$31,200,000 have been appropriated for this program. The average funding level for a grant is \$1,500,000.

X. Program: Defense Economic Conversion

(Catalog of Federal Domestic Assistance: 11.307 Special Economic Development and Adjustment Assistance Program—Long Term Economic Deterioration and Sudden and Severe Economic Dislocation; 11.300 Economic Development Grants and Loans for Public Works and Development Facilities; 11.304 Economic Development—Technical Assistance; 11.302 Economic Development—Support for Planning Organizations); 11.305 Economic Development—State and Urban Area Economic Development Planning); and 11.312 Economic Development—Research and Evaluation Program)

Funding Availability

Funds in the amount of \$90,000,000 have been appropriated for this program. The average funding level for a grant is \$1,500,000.

XI. Program: Trade Adjustment Assistance

(Catalog of Federal Domestic Assistance: 11.313 Economic Development—Trade Adjustment Assistance)

Funding Availability:

Funds in the amount of \$8,500,000 have been appropriated for this program. The average funding level for a grant is \$708,000.

XII. EDA Washington D.C., Regional Offices and Economic Development Representatives

The EDA Washington, D.C., regional and field offices, states covered and the economic development representatives (EDRs) are listed below.

Washington, D.C. Offices

For National Technical Assistance, Research and Evaluation contact: John McNamee, Technical Assistance and Research Division, Economic Development Administration, Room 7315, U.S. Department of Commerce, Washington, D.C. 20230; Telephone: (202) 482-4085; Internet Address: jmcnamee@doc.gov

For Trade Adjustment Assistance contact: Lewis R. Podolske, Director, Trade Adjustment Assistance Division, Economic Development

Administration, Room 7023, U.S.
Department of Commerce,
Washington, D.C. 20230; Telephone:
(202) 482-3373; Internet Address:
lpodolske@doc.gov

EDA Regional Offices

William J. Day, Jr., Regional Director,
Atlanta Regional Office, 401 West
Peachtree Street, N.W., Suite 1820,

Atlanta, Georgia 30308; Telephone:
(404) 730-3002; Fax: (404) 730-3025;
Internet Address: wday@doc.gov

Economic development representatives	States covered
Burnette, F. Wayne, Aronov Building, Room 705, 474 South Court Street, Montgomery, AL 36104; Telephone: (334) 223-7008; Internet Address: wburnett@doc.gov.	Alabama, Mississippi, Florida.
Smith, Lola B., Federal Building, Room 423, 80 North Hughey Avenue, Orlando, FL 32801; Telephone: (407) 648-6573; Internet Address: lsmith8@doc.gov.	Georgia.
Patterson, Gilbert, 401 West Peachtree Street, N.W., Suite 1820, Atlanta, GA 30308; Telephone: (404) 730-3018; Internet Address: gpatters@doc.gov.	Kentucky.
Hunter, Bobby D., 771 Corporate Drive, Suite 200, Lexington, KY 40503-5477; Telephone: (606) 224-7426; Internet Address: bhunter@doc.gov.	North Carolina, South Carolina, Tennessee.
Dixon, Patricia M., Strom Thurmond Federal Building, 1835 Assembly Street, Room 307, Columbia, SC 29201; Telephone: (803) 765-5676; Internet Address: pdixon@doc.gov.	
Parks, Mitchell S., 261 Cumberland Bend Drive, Nashville, TN 38228; Telephone: (615) 726-5911; Internet Address: mparks@doc.gov.	

Pedro R. Garza, Regional Director,
Austin Regional Office, Thornberry
Building, Suite 121, 903 San Jacinto

Boulevard, Austin, Texas 78701;
Telephone: (512) 916-5461; Fax: (512)

916-5613; Internet Address:
pgarza@doc.gov

Regional office contacts	States covered
Frerking, Sharon T., Austin Regional Office, Thornberry Building, Suite 121, 903 San Jacinto Boulevard, Austin, Texas 78701; Telephone: (512) 916-5217; Internet Address: sfrerking@doc.gov.	Oklahoma, New Mexico, Texas (north and west).
Lee, Ava, Austin Regional, Thornberry Building, Suite 121, 903 San Jacinto Boulevard, Austin, TX 78701; Telephone: (512) 916-5824; Internet Address: alee@doc.gov.	Louisiana, Arkansas, Texas (south).

C. Robert Sawyer, Regional Director,
Chicago Regional Office, 111 North

Canal Street, Suite 855, Chicago, IL
60606; Telephone: (312) 353-7706;

Fax: (312) 353-8575; Internet
Address: csawyer@doc.gov

Economic development representatives	States covered
Charles Elsner, Chicago Regional Office, 111 North Canal Street, Suite 855, Chicago, IL 60606; Telephone: (312) 353-7706; Internet Address: celsner@doc.gov.	Illinois, Michigan (lower).
Arnold, John B. III, 104 Federal Building, 515 West First Street, Duluth, MN 55802; Telephone: (218) 720-5326; Internet Address: jarnold@doc.gov.	Minnesota.
Hickey, Robert F., Federal Building, Room 607, 200 North High Street, Columbus, Ohio 43214; Telephone: (614) 469-7314; Internet Address: rhickey@doc.gov.	Ohio, Indiana.
Peck, John E., Chicago Regional Office, 111 North Canal Street, Suite 855, Chicago, IL 60606; Telephone: (312) 353-7148; Internet Address: jpeck@doc.gov.	Michigan (excluding upper peninsula).
Price, Jack D., 1320 W. Clairemont Ave., Suite 114, Eau Claire, WI 54701; Telephone: (715) 834-4079; Internet Address: jprice@doc.gov.	Wisconsin, Michigan (upper peninsula).

John Woodward, Regional Director, Denver Regional Office, 1244 Speer Boulevard, Room 670, Denver, Colorado 80204; Telephone: (303) 844-4715; Fax: (303) 844-3968; Internet Address: jwoodwa3@doc.gov

Economic development representatives	States covered
Zender, John, 1244 Speer Boulevard, Room 632, Denver, CO 80204; Telephone: (303) 844-4902; Internet Address: jzender@doc.gov.	Colorado, Kansas.
Cecil, Robert, Federal Building, Room 593A, 210 Walnut Street, Des Moines, IA 50309; Telephone: (515) 284-4746; Internet Address: bcecil@doc.gov.	Iowa, Nebraska.
Hildebrandt, Paul, Federal Building, Room B-2, 608 East Cherry Street, Columbia, MO 65201; Telephone: (573) 442-8084; Internet Address: phildeb1@doc.gov.	Missouri.
Rogers, John C., Federal Building, Room 196, Drawer 10074, Helena, MT 59626; Telephone: (406) 441-1175; Internet Address: jrogers6@doc.gov.	Montana.
Turner, Robert, Denver Regional Office, 1244 Speer Boulevard, Room 670, Denver, CO 80204; Telephone: (303) 844-4474; Internet Address: bturner2@doc.gov.	South Dakota, North Dakota.
Ockey, Jack, Federal Building, Room 2105, 125 South State Street, Salt Lake City, UT 84138; Telephone: (801) 524-5119; Internet Address: jockey@doc.gov.	Utah, Wyoming.

John E. Corrigan, Regional Director, Philadelphia Regional Office, Curtis Center, Independence Square West, Suite 140 South, Philadelphia, PA 19106; Telephone: (215) 597-4603; Fax: (215) 597-6669; Internet Address: jcorrigan@doc.gov

Economic development representatives	States covered
Flynn, Patricia A., 2568-A Riva Road, Suite 200, Annapolis, MD 21401; Telephone: (410) 962-2513; Internet Address: pflynn@doc.gov.	Delaware, Maryland, District of Columbia.
Wilkinson, Cassandra, Philadelphia Regional Office, Curtis Center, Independence Square West, Suite 140 South, Philadelphia, PA 19106; Telephone: (215) 597-4360; Internet Address: cwilkins@doc.gov.	Rhode Island.
Grady, Stephen, Philadelphia Regional Office, Curtis Center, Independence Square West, Suite 140 South, Philadelphia, PA 19106; Telephone: (215) 597-0642; Internet Address: sgrady@doc.gov.	Connecticut.
Kuzma, John, Philadelphia Regional Office, Curtis Center, Independence Square West, Suite 140 South, Philadelphia, PA 19106; Telephone: (215) 597-8797; Internet Address: jkuzma@doc.gov.	Massachusetts.
Potter, Rita V., 143 North Main Street, Suite 209, Concord, NH 03301; Telephone: (603) 225-1624; Internet Address: rpotter@doc.gov.	New Hampshire, Vermont, Maine.
Rossignol, Clifford J., 44 South Clinton Avenue, Room 703, Trenton, NJ 08609; Telephone: (609) 989-2192; Internet Address: crossign@doc.gov.	New Jersey.
Marshall, Harold J. II, 620 Erie Boulevard West, Suite 104, Syracuse, NY 13204; Telephone: (315) 448-0938; Internet Address: hmarshal@doc.gov.	New York.
Pecone, Anthony M., 1933A New Berwick Highway, Bloomsburg, PA 17815; Telephone: (717) 389-7560; Internet Address: apecone@doc.gov.	Pennsylvania.
Cruz, Ernesto L., IBM Building, Room 620, 654 Munoz Rivera Avenue, Hato Rey, PR 00918; Telephone: (809) 766-5187; Internet Address: ecruz@doc.gov.	Puerto Rico, Virgin Islands.
Noyes, Neal E., 700 Centre Building, Room 230, 704 E. Franklin Street, Richmond, VA 23219; Telephone: (804) 771-2061; Internet Address: nnoyes@doc.gov.	Virginia.
Davis, R. Byron, Rose City Press Building, 550 Eagan Street, Room 305, Charleston, WV 25301; Telephone: (304) 347-5252; Internet Address: bdavis3@doc.gov.	West Virginia.

A. Leonard Smith, Regional Director, Seattle Regional Office, Jackson Federal Building, Room 1856, 915 Second Avenue, Seattle, Washington 98174; Telephone: (206) 220-7660; Fax: (206) 220-7659; Internet Address: lsmith7@doc.gov.

Economic development representatives	States covered
Richert, Bernhard E. Jr., 605 West 4th Avenue, Room G-80, Anchorage, AK 99501; Telephone: (907) 271-2272; Internet Address: brichert@doc.gov.	Alaska.
Sosson, Deena R., 1345 J Street, Suite B, Sacramento, CA 95814; Telephone: (916) 498-5285; Internet Address: dsosson@doc.gov.	California (central).
Church, Dianne V., Seattle Regional Office, Jackson Federal Building, 915 Second Avenue, Room 1856, Seattle, WA 98174; Telephone: (206) 220-7690; Internet Address: dchurch@doc.gov.	California (Bay and coastal).
McChesney, Frank, P.O. Box 50264, Federal Building, Room 4106, Honolulu, HI 96850; Telephone: (808) 541-3391; Internet Address: fmcchesn@doc.gov.	Hawaii, Guam, American Samoa, Marshall Islands, Micronesia, Northern Marianas.
Ames, Aldred F., Borah Federal Building, Room 441, 304 North 8th Street, Boise, ID 83702; Telephone: (208) 334-1521; Internet Address: aames@doc.gov.	Idaho, Arizona, Nevada.
Berblinger, Anne S., One World Trade Center, 121 S.W. Salmon Street, Suite 244, Portland, OR 97204; Telephone: (503) 326-3078; Internet Address: aberblin@doc.gov.	Oregon, California (northern).
Svendsen, David E., Seattle Regional Office, Jackson Federal Building, 915 Second Avenue, Room 1856, Seattle, WA 98174; Telephone: (206) 220-7703; Internet Address: dsvendse@doc.gov.	California (southern).
Kirry, Lloyd P., Seattle Regional Office, Jackson Federal Building, 915 Second Avenue, Room 1856, Seattle, WA 98174; Telephone: (206) 220-7682; Internet Address: lkirry@doc.gov.	Washington.

Dated: December 12, 1996.

Phillip A. Singerman,
Assistant Secretary for Economic Development.

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