

DEPARTMENT OF HEALTH AND HUMAN SERVICES**Substance Abuse and Mental Health Services Administration****Fiscal Year (FY) 1998 Funding Opportunities**

AGENCY: Substance Abuse and Mental Health Services Administration, HHS.

ACTION: Notice of funding availability.

SUMMARY: The Substance Abuse and Mental Health Services Administration (SAMHSA) Center for Mental Health Services (CMHS), Center for Substance Abuse Prevention (CSAP) and Center for Substance Abuse Treatment (CSAT) announce the availability of FY 1998 funds for grants and cooperative

agreements for the following activities. These activities are discussed in more detail under Section 4 of this notice. This notice is not a complete description of the activities; potential applicants *must* obtain a copy of the Guidance for Applicants (GFA) before preparing an application.

Activity	Application deadline	Estimated funds available (millions)	Estimated number of awards	Project period (years)
Action Grants with Hispanic Priority	05/27/98	\$3.5	25-30	1
Women and Violence	05/27/98	\$7.5	13	2-5

Note: SAMHSA also published notices of available funding opportunities in FY 1998 in the **Federal Register** on January 6, 1998, January 20, 1998, and on February 26, 1998.

The actual amount available for awards and their allocation may vary, depending on unanticipated program requirements and the volume and quality of applications. Awards are usually made for grant periods from one to three years in duration. FY 1998 funds for activities discussed in this announcement were appropriated by the Congress under Pub. L. 105-78. SAMHSA's policies and procedures for peer review and Advisory Council review of grant and cooperative agreement applications were published in the **Federal Register** (Vol. 58, No. 126) on July 2, 1993.

The Public Health Service (PHS) is committed to achieving the health promotion and disease prevention objectives of Healthy People 2000, a PHS-led national activity for setting priority areas. The SAMHSA Centers' substance abuse and mental health services activities address issues related to Healthy People 2000 objectives of Mental Health and Mental Disorders; Alcohol and Other Drugs; Clinical Preventive Services; HIV Infection; and Surveillance and Data Systems. Potential applicants may obtain a copy of Healthy People 2000 (Full Report: Stock No. 017-001-00474-0) or Summary Report: Stock No. 017-001-00473-1) through the Superintendent of Documents, Government Printing Office, Washington, DC 20402-9325 (Telephone: 202-512-1800).

General Instructions

Applicants must use application form PHS 5161-1 (Rev. 5/96; OMB No. 0937-0189). The application kit contains the GFA (complete programmatic guidance and instructions for preparing and submitting applications), the PHS 5161-1 which includes Standard Form 424 (Face Page), and other documentation

and forms. Application kits may be obtained from the organization specified for each activity covered by this notice (see Section 4).

When requesting an application kit, the applicant must specify the particular activity for which detailed information is desired. This is to ensure receipt of all necessary forms and information, including any specific program review and award criteria.

The PHS 5161-1 application form and the full text of each of the activities (i.e., the GFA) described in Section 4 are available electronically via SAMHSA's World Wide Web Home Page (address: <http://www.samhsa.gov>).

Application Submission

Unless otherwise stated in the GFA, applications must be submitted to: SAMHSA Programs, Center for Scientific Review, National Institutes of Health, Suite 1040, 6701 Rockledge Drive MSC-7710, Bethesda, Maryland 20892-7710.*

(* Applicants who wish to use express mail or courier service should change the zip code to 20817.)

Application Deadlines

The deadlines for receipt of applications are listed in the table above. Please note that the deadlines may differ for the individual activities.

Competing applications must be received by the indicated receipt dates to be accepted for review. An application received after the deadline may be acceptable if it carries a legible proof-of-mailing date assigned by the carrier and that date is not later than one week prior to the deadline date. Private metered postmarks are not acceptable as proof of timely mailing.

Applications received after the deadline date and those sent to an address other than the address specified above will be returned to the applicant without review.

FOR FURTHER INFORMATION CONTACT:

Requests for activity-specific technical information should be directed to the program contact person identified for each activity covered by this notice (see Section 4).

Requests for information concerning business management issues should be directed to the grants management contact person identified for each activity covered by this notice (see Section 4).

SUPPLEMENTARY INFORMATION: To facilitate the use of this Notice of Funding Availability, information has been organized as outlined in the Table of Contents below. For each activity, the following information is provided:

- Application Deadline
- Purpose
- Priorities
- Eligible Applicants
- Grants/Cooperative Agreements/Amounts
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1. Program Background and Objectives

SAMHSA's mission within the Nation's health system is to improve the quality and availability of prevention, early intervention, treatment, and rehabilitation services for substance abuse and mental illnesses, including co-occurring disorders, in order to improve health and reduce illness, death, disability, and cost to society.

Reinventing government, with its emphases on redefining the role of Federal agencies and on improving customer service, has provided SAMHSA with a welcome opportunity to examine carefully its programs and activities. As a result of that process, SAMHSA moved assertively to create a renewed and strategic emphasis on using its resources to generate knowledge about ways to improve the prevention and treatment of substance abuse and mental illness and to work with State and local governments as well as providers, families, and consumers to effectively use that knowledge in everyday practice.

SAMHSA's FY 1998 Knowledge Development and Application (KD&A) agenda is the outcome of a process whereby providers, services researchers, consumers, National Advisory Council members and other interested persons participated in special meetings or responded to calls for suggestions and reactions. From this input, each SAMHSA Center developed a "menu" of suggested topics. The topics were discussed jointly and an agency agenda of critical topics was agreed to. The selection of topics depended heavily on policy importance and on the existence of adequate research and practitioner experience on which to base studies. While SAMHSA's FY 1998 KD&A programs will sometimes involve the evaluation of some delivery of services, they are services studies and application activities, not merely evaluation, since they are aimed at answering policy-relevant questions and putting that knowledge to use.

SAMHSA differs from other agencies in focusing on needed information at the services delivery level, and in its question-focus. Dissemination and application are integral, major features of the programs. SAMHSA believes that it is important to get the information into the hands of the public, providers, and systems administrators as effectively as possible. Technical assistance, training, preparation of special materials will be used, in addition to normal communications means.

SAMHSA also continues to fund legislatively-mandated services

programs for which funds are appropriated.

2. Special Concerns

SAMHSA's legislatively-mandated services programs do provide funds for mental health and/or substance abuse treatment and prevention services. However, SAMHSA's KD&A activities do not provide funds for mental health and/or substance abuse treatment and prevention services except sometimes for costs required by the particular activity's study design. Applicants are required to propose true knowledge application or knowledge development and application projects. Applications seeking funding for services projects under a KD&A activity will be considered nonresponsive.

Applications that are incomplete or nonresponsive to the GFA will be returned to the applicant without further consideration.

3. Criteria for Review and Funding

Consistent with the statutory mandate for SAMHSA to support activities that will improve the provision of treatment, prevention and related services, including the development of national mental health and substance abuse goals and model programs, competing applications requesting funding under the specific project activities in Section 4 will be reviewed for technical merit in accordance with established PHS/SAMHSA peer review procedures.

3.1 General Review Criteria

As published in the **Federal Register** on July 2, 1993 (Vol. 58, No. 126), SAMHSA's "Peer Review and Advisory Council Review of Grant and Cooperative Agreement Applications and Contract Proposals," peer review groups will take into account, among other factors as may be specified in the application guidance materials, the following general criteria:

- Potential significance of the proposed project;
- Appropriateness of the applicant's proposed objectives to the goals of the specific program;
- Adequacy and appropriateness of the proposed approach and activities;
- Adequacy of available resources, such as facilities and equipment;
- Qualifications and experience of the applicant organization, the project director, and other key personnel; and
- Reasonableness of the proposed budget.

3.2 Funding Criteria for Scored Applications

Applications will be considered for funding on the basis of their overall

technical merit as determined through the peer review group and the appropriate National Advisory Council (if applicable) review process.

Other funding criteria will include: Availability of funds.

Additional funding criteria specific to the programmatic activity may be included in the application guidance materials.

4. Special FY 1998 SAMHSA Activities

4.1 Grants

4.1.1 Community Action Grants For Service Systems Change (GFA No. SM 98-003)

Application Deadline: May 27, 1998.

Purpose: The Center for Mental Health Services (CMHS) announces the continuation of its *basic program*—Community Action Grants for Service Change. CMHS is making FY 1998 funds available to communities to support the adoption of exemplary service delivery practices related to the delivery and/or organization of services or supports for children with serious emotional disturbances and adults with serious mental illness. The adult population may also have co-occurring disorders.

In addition to the "Basic Program" sponsored by CMHS, SAMHSA's Center for Substance Abuse Prevention (CSAP) and Center for Substance Abuse Treatment (CSAT) have joined CMHS in making FY 1998 funds available for a priority initiative for Hispanic communities to support exemplary practices for Hispanic adults and adolescents with mental health and/or substance abuse problems. This priority initiative offers the same grant vehicle as the Basic Program to Hispanic communities to address mental health and/or substance abuse problems.

This program is intended to stimulate the adoption of exemplary practices through convening partners, building consensus, aiding in eliminating barriers, decision-support and adaptation of service models to meet local needs. Grants will not support direct funding of services themselves. Projects will be successful if a grantee can develop consensus among key decision makers to adopt an exemplary practice.

Priorities: Hispanic Initiative.

Eligible Applicants: Applications may be submitted by units of State or local governments and by domestic private nonprofit and for-profit organizations such as community-based organizations, universities, colleges, and hospitals. SAMHSA encourages applications from consumer and family organizations.

Applications for the Hispanic Priority Initiative must target Hispanics, identify

an exemplary practice specific to the needs of Hispanic Americans and demonstrate the involvement of Hispanic community leadership.

Applicants must demonstrate that they are in a position to engage all the key stakeholders in the proposed consensus building/decision making process.

Grants/Amounts: It is estimated that approximately \$2.75 million will be available under the Basic Program to support approximately 20 awards in FY 1998. The average award is expected to range from \$50,000 to \$150,000 in total costs.

In addition to the estimated \$2.75 million available under the Basic Program, an additional \$750,000 will be made available to support 5 to 10 awards under the Hispanic Priority Initiative in FY 1998. The average award under this initiative is expected to range from \$50,000 to not more than \$150,000 in total costs.

Catalog of Federal Domestic Assistance Number: 93.230.

Program Contacts: For programmatic or technical information regarding Adult Serious Mentally Ill Populations, contact: Santo (Buddy) Ruiz, Community Support Programs Branch, Division of Knowledge Development and Systems Change, Center for Mental Health Services, Substance Abuse and Mental Health Service Administration, Parklawn Building, Room 11C-22, (301) 443-3653.

For programmatic or technical information regarding Homeless Populations, contact: Michael Hutner, PhD., Homeless Program Branch, Division of Knowledge Development and Systems Change, Center for Mental Health Services, Substance Abuse and Mental Health Service Administration, Parklawn Building, Room 11C-05, (301) 443-3706.

For programmatic or technical information regarding Children and Adolescents with Serious Emotional Disorders and their Families, contact: Michele Herman, Child, Adolescents and Family Services Branch, Division of Knowledge Development and Systems Change, Center for Mental Health Services, Substance Abuse and Mental Health Service Administration, Parklawn Building, Room 18-49, (301) 443-1333.

For programmatic or technical information regarding Substance Abuse Treatment, contact: David C. Thompson, Division of Practice and Systems Development, Clinical Interventions Branch, Center for Substance Abuse Treatment, Substance Abuse and Mental Health Services Administration,

Rockwall II Building, Suite 740, (301) 443-6523.

For programmatic or technical information regarding Substance Abuse Prevention, contact: Addie Key, Division of State and Community Systems Development, Center for Substance Abuse Prevention, Substance Abuse and Mental Health Services Administration, Rockwall II Building, Suite 940, (301) 443-9438.

For grants management assistance, contact: Stephen J. Hudak, Division of Grants Management, OPS, Substance Abuse and Mental Health Services Administration, Parklawn Building, Room 15C-05, (301) 443-4456.

The mailing address for the individuals listed above is: 5600 Fishers Lane, Rockville, MD 20857.

Application Kits: Application kits are available from: Center for Mental Health Services Knowledge Exchange Network (KEN), P.O. Box 42490, Washington, DC 20015. Voice: (800) 789-2647; TTY: (301) 443-9006; FAX: (301) 984-8796

4.2 Cooperative Agreements

A major activity for a SAMHSA cooperative agreement program is discussed below. Substantive Federal programmatic involvement is required in cooperative agreement programs. Federal involvement will include planning, guidance, coordination, and participating in programmatic activities (e.g., participation in publication of findings and on steering committees). Periodic meetings, conferences and/or communications with the award recipients may be held to review mutually agreed-upon goals and objectives and to assess progress. Additional details on the degree of Federal programmatic involvement will be included in the application guidance materials.

4.2.1 Cooperative Agreements to Study Women with Alcohol, Drug Abuse, and Mental Health (ADM) Disorders Who Have Histories of Violence (Short Title: Women and Violence Study—GFA No. TI 98-004)

Application Deadline: May 27, 1998.

Purpose: The Substance Abuse and Mental Health Services Administration (SAMHSA) announces the availability of cooperative agreements to support the first of a two-phase study on women, ADM disorders and violence: PHASE ONE—Developing an Integrated System of Care with Services Intervention Models, Implementing the Qualitative Phase One Evaluation, and Developing Evaluation Protocols for Phase Two; and PHASE TWO—Full Scale Implementation of Integrated strategies, Services Intervention Models and

Outcome Evaluation. There is a possibility of a PHASE THREE—long-term evaluation, depending on Phase Two outcome and availability of funds. This Knowledge Development and Application (KDA) program is a result of a partnership among SAMHSA and its three centers—the Center for Substance Abuse Treatment (CSAT), the Center for Substance Abuse Prevention (CSAP) and the Center for Mental Health Services (CMHS).

The goal of Phase One is the generation of new knowledge in response to two questions: (1) What constitutes an integrated system of care for women with co-occurring disorders who are victims of violence, and for their children; and (2) what are the most promising services integration models for this population and what is the rationale for their selection.

SAMHSA requests applications for cooperative agreements to conduct Phase One of the study. This phase includes: (1) the development and implementation of an integrated system of care as a vehicle for delivering effective services intervention models (also to be developed in Phase One) for women with co-occurring substance abuse and mental health disorders who are victims of physical and/or sexual abuse, and for delivering services for their children who have been impacted as a result of these problems; (2) the development and implementation of Phase One's qualitative evaluation; and (3) the development of evaluation protocols for Phase Two of the study.

Applications are being solicited for up to twelve study sites and a Coordinating Center to provide programmatic and evaluation assistance to the study sites.

Priorities: None.

Eligible Applicants: Applications may be submitted by units of State or local governments and by domestic private nonprofit and for-profit organization such as community-based organizations, universities, colleges, and hospitals.

Applicants for study sites must provide evidence of having at least two years demonstrated experience working in the field of women, ADM disorders and violence.

Applicants for the Coordinating Center must provide evidence of having at least one year of demonstrated experience working in the field of women, ADM disorders and violence, at the treatment or research level.

Note: Applicants may apply for both a study site and Coordinating Center award; however, a separate application for each must be submitted. If an organization chooses to apply for multiple awards, there should be no overlap in research/evaluation and support personnel.

Cooperative Agreement/Amounts: It is estimated that approximately \$7.5 million (total costs, i.e., direct and indirect costs) will be available to support up to twelve study site awards and one Coordinating Center under this GFA in FY 1998.

Catalog of Domestic Federal Assistance Number: 93.230.

Program Contact: For programmatic or technical assistance contact:

Linda White Young, Division of Practice and Systems Development, Center for Substance Abuse Treatment, Substance Abuse and Mental Health Services Administration, Rockwall II, Suite 740, (301) 443-8392.

Susan Salasin, Division of Knowledge Development and Systems Change, Center for Mental Health Services, Substance Abuse and Mental Health Services Administration, Parklawn Building, Room 11C-26, (301) 443-3653.

Jeanette Bevirt-Mills, Division of Knowledge Development and Evaluation, enter for Substance Abuse Prevention, Substance Abuse and Mental Health Services Administration, Rockwall II, Suite 1075, (301) 443-4564.

For grants management assistance, contact: Ms. Peggy Jones, Division of Grants Management, OPS, Substance Abuse and Mental Health Services Administration, Rockwall II, Suite 360, (301) 443-9666.

The mailing address for the individuals listed above is: 5600 Fishers Lane, Rockville, MD 20857.

Application Kits: Application kits are available from: National Clearinghouse for Alcohol and Drug Information, PO Box 2345, Rockville, Maryland 20847-2345. 1-800-729-6686, 1-800-487-4889; via Internet: <http://www.samhsa.gov>.

5. Public Health System Reporting Requirements

The Public Health System Impact Statement (PHSIS) is intended to keep State and local health officials apprised of proposed health services grant and cooperative agreement applications submitted by community-based nongovernmental organizations within their jurisdictions.

Community-based nongovernmental service providers who are not transmitting their applications through the State must submit a PHSIS to the head(s) of the appropriate State and local health agencies in the area(s) to be affected not later than the pertinent receipt date for applications. This PHSIS consists of the following information:

- a. A copy of the face page of the application (Standard Form 424).
- b. A summary of the project (PHSIS), not to exceed one page, which provides:
 - (1) A description of the population to be served.
 - (2) A summary of the services to be provided.
 - (3) A description of the coordination planned with the appropriate State or local health agencies.

State and local governments and Indian Tribal Authority applicants are not subject to the Public Health System Reporting Requirements.

Application guidance materials will specify if a particular FY 1998 activity described above is/is not subject to the Public Health System Reporting Requirements.

6. PHS Non-use of Tobacco Policy Statement

The PHS strongly encourages all grant and contract recipients to provide a smoke-free workplace and promote the non-use of all tobacco products. In addition, Pub. L. 103-227, the Pro-Children Act of 1994, prohibits smoking in certain facilities (or in some cases, any portion of a facility) in which regular or routine education, library, day care, health care, or early childhood development services are provided to children. This is consistent with the PHS mission to protect and advance the physical and mental health of the American people.

7. Executive Order 12372

Applications submitted in response to all FY 1998 activities listed above are subject to the intergovernmental review requirements of Executive Order 12372, as implemented through DHHS regulations at 45 CFR Part 100. E.O. 12372 sets up a system for State and local government review of applications for Federal financial assistance. Applicants (other than Federally recognized Indian tribal governments) should contact the State's Single Point of Contact (SPOC) as early as possible to alert them to the prospective application(s) and to receive any necessary instructions on the State's review process. For proposed projects serving more than one State, the applicant is advised to contact the SPOC of each affected State. A current listing of SPOCs is included in the application guidance materials. The SPOC should send any State review process recommendations directly to: Office of Extramural Activities Review, Substance Abuse and Mental Health Services Administration, Parklawn Building, Room 17-89, 5600 Fishers Lane, Rockville, Maryland 20857.

The due date for State review process recommendations is no later than 60 days after the specified deadline date for the receipt of applications. SAMHSA does not guarantee to accommodate or explain SPOC comments that are received after the 60-day cut-off.

Dated: March 15, 1998.

Richard Kopanda,

Executive Officer, SAMHSA.

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DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

[Docket No. FR-4341-N-02]

Federal Property Suitable as Facilities To Assist the Homeless

AGENCY: Office of the Assistant Secretary for Community Planning and Development, HUD.

ACTION: Notice.

SUMMARY: This Notice identifies unutilized, underutilized, excess, and surplus Federal property reviewed by HUD for suitability for possible use to assist the homeless.

EFFECTIVE DATE: March 20, 1998.

FOR FURTHER INFORMATION CONTACT:

Mark Johnston, Department of Housing and Urban Development, Room 7256, 451 Seventh Street SW, Washington, DC 20410; telephone (202) 708-1226; TTY number for the hearing- and speech-impaired (202) 708-2565, (these telephone numbers are not toll-free), or call the toll-free Title V information line at 1-800-927-7588.

SUPPLEMENTARY INFORMATION: In accordance with the December 12, 1988 court order in *National Coalition for the Homeless v. Veterans Administration*, No. 88-2503-OG (D.D.C.), HUD publishes a Notice, on a weekly basis, identifying unutilized, underutilized, excess and surplus Federal buildings and real property that HUD has reviewed for suitability for use to assist the homeless. Today's Notice is for the purpose of announcing that no additional properties have been determined suitable or unsuitable this week.

Dated: March 12, 1998.

Fred Karnas, Jr.

Deputy Assistant Secretary for Economic Development.

[FR Doc. 98-6912 Filed 3-19-98; 8:45 am]

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