

Special Assistant to the Assistant Secretary for Public Affairs. Effective February 20, 1998.

Special Assistant to the Secretary of Labor. Effective February 25, 1998.

#### *Department of State*

Special Assistant to the Assistant Secretary, Bureau of African Affairs. Effective February 12, 1998.

Staff Assistant to the Deputy Assistant Secretary for Strategic Planning. Effective February 24, 1998.

#### *Department of Transportation*

Associate Director, Office of Intergovernmental and Consumer Affairs to the Director, Office of Intergovernmental Affairs. Effective February 5, 1998.

Special Assistant to the Administrator, Federal Highway Administration. Effective February 11, 1998.

#### *Department of the Treasury*

Senior Advisor to the Assistant Secretary for Financial Markets. Effective February 18, 1998.

#### *Department of Veterans Affairs*

Special Assistant to the Deputy Secretary of Veterans Affairs. Effective February 6, 1998.

Special Assistant to the Secretary of Veterans Affairs. Effective February 19, 1998.

Executive Assistant to the Secretary of Veterans Affairs/Deputy Chief of Staff. Effective February 19, 1998.

#### *General Services Administration*

Deputy Associate Administrator to the Associate Administrator for Congressional and Intergovernmental Affairs. Effective February 18, 1998.

#### *National Aeronautics and Space Administration*

Radio Production Specialist to the Associate Administrator, Public Affairs. Effective February 11, 1998.

#### *National Transportation Safety Board*

Confidential Assistant to the Chairman. Effective February 24, 1998.

Special Assistant to the Managing Director. Effective February 25, 1998.

#### *Office of Management and Budget*

Confidential Assistant to the Associate Director for Natural Resources, Energy and Science. Effective February 5, 1998.

#### *Small Business Administration*

National Director for Community Outreach to the Administrator, Small

Business Administration. Effective February 12, 1998.

#### *United States Information Agency*

Supervisory Public Affairs Specialist (New York) to the Associate Director, Bureau of Information, Foreign Press Center. Effective February 26, 1998.

#### *United States Tax Court*

Secretary (Confidential Assistant) to the Judge. Effective February 9, 1998.

**Authority:** 5 U.S.C. 3301 and 3302; E.O. 10577, 3 CFR 1954-1958 Comp., p. 218.

Office of Personnel Management.

**Janice R. Lachance**

*Director.*

[FR Doc. 98-9443 Filed 4-9-98; 8:45 am]

BILLING CODE 6325-01-P

## SECURITIES AND EXCHANGE COMMISSION

[Investment Company Act Release No. 23102; 812-10992]

### Harris & Harris Group, Inc.; Notice of Issuance of Certification

April 6, 1998.

**AGENCY:** Securities and Exchange Commission ("SEC").

**ACTION:** Issuance of Certification Pursuant to Section 851(e) of the Internal Revenue Code of 1986, as Amended ("Code").

**SUMMARY:** The SEC is issuing a certification pursuant to section 851(e) of the Code that applicant Harris & Harris Group, Inc. ("Harris") was, for the fiscal year ended December 31, 1997, principally engaged in the furnishing of capital to other corporations which are principally engaged in the development or exploitation of inventions, technological improvements, new processes or products not previously generally available.

**FILING DATES:** The application for the certification was filed on January 6, 1998, and amended on March 2, 1998 and April 2, 1998.

**FOR FURTHER INFORMATION CONTACT:** Joseph B. McDonald, Jr., at (202) 942-0564 (Division of Investment Management, Office of Investment Company Regulation).

**SUPPLEMENTARY INFORMATION:** The following is a summary of the application and a certification. The complete application may be obtained for a fee from the SEC's Public Reference Branch, 450 Fifth Street NW.,

Washington, DC 20549 (telephone (202) 942-8090).

### Applicant's Representations

1. Harris is a New York corporation and a closed-end, non-diversified management investment company registered under the Investment Company Act of 1940 ("Act"). On July 26, 1995, Harris elected to become regulated as a business development company pursuant to section 54(a) of the Act.

2. Harris proposes to qualify as a "regulated investment company" under section 851(a) of the Code pursuant to section 851(e) of the Code. Section 851(b) of the Code imposes certain portfolio diversification requirements on investment companies that seek to qualify as a regulated investment company. Section 851(e) of the Code provides an exemption from these diversification requirements if the investment company, among other things, obtains a certification from the SEC that the investment company is principally engaged in the furnishing of capital to other corporations which are principally engaged in the development or exploitation of inventions, technological improvements, new processes or products not previously generally available (collectively, "Development Corporations").

3. Harris has filed an application seeking a certification pursuant to section 851(e) of the Code for the fiscal year ended December 31, 1997. The application describes each company in Harris' portfolio during the fiscal year ended December 31, 1997 that Harris believes to be a Development Corporation. Harris states that, in making this determination, it relied upon information provided by the portfolio companies to Harris and to others, including but not limited to, offering circulars, prospectuses, analyst reports, internal company memoranda, patent applications and similar documents. In addition, Harris generally is represented on the boards of directors of its portfolio companies through member or observer status, and also has direct access to senior management of the companies.

4. The following table shows the composition of the total assets of Harris as of each of the calendar quarters ended March 31, June 30, September 30, and December 31, 1997, as set forth in the Application.

Assets (at value)	Mar. 31, 1997	June 30, 1997	Sept. 30, 1997	Dec. 31, 1997
Investments representing capital furnished to corporations believed to be Development Corporations .....	\$18,746,134	\$17,676,340	\$16,424,441	\$20,748,370
Other investments, cash and U.S. Government securities .....	15,116,513	11,514,006	12,827,611	18,056,448
Other assets .....	2,502,630	2,510,409	3,384,327	468,966
<b>Total assets .....</b>	<b>36,365,277</b>	<b>31,700,755</b>	<b>32,636,379</b>	<b>39,273,784</b>

As reflected in the table above, Development Companies comprised the following percentages of the total assets of Harris at the end of each calendar quarter of 1997: March 31, 51.5%; June 30, 55.8%; September 30, 50.3%; and December 31, 52.8%.

#### Certification

On the basis of the information set forth in the application, it appears that Harris was principally engaged in the furnishing of capital to Development Corporations within the meaning of section 851(e) of the Code in the fiscal year ended December 31, 1997. It is therefore certified to the Secretary of the Treasury, or his delegate, pursuant to section 851(e) of the Code, that Harris was, for the twelve months ended December 31, 1997, principally engaged in the furnishing of capital to other corporations which are principally engaged in the development or exploitation of inventions, technological improvements, new processes or products not previously generally available.

For the Commission, by the Division of Investment Management, under delegated authority.

**Margaret H. McFarland,**

*Deputy Secretary.*

[FR Doc. 98-9463 Filed 4-9-98; 8:45 am]

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#### SECURITIES AND EXCHANGE COMMISSION

[Release No. 35-26855]

#### Filings Under the Public Utility Holding Company Act of 1935, as Amended ("Act")

April 3, 1998.

Notice is hereby given that the following filing(s) has/have been made with the Commission pursuant to provisions of the Act and rules promulgated thereunder. All interested persons are referred to the application(s) and/or declaration(s) for complete statements of the proposed transaction(s) summarized below. The application(s) and/or declaration(s) and any amendments thereto is/are available for public inspection through the

Commission's Office of Public Reference.

Interested persons wishing to comment or request a hearing on the application(s) and/or declaration(s) should submit their views in writing by April 28, 1998, to the Secretary, Securities and Exchange Commission, Washington, D.C. 20549, and serve a copy on the relevant applicant(s) and/or declarant(s) at the address(es) specified below. Proof of service (by affidavit or, in case of an attorney at law, by certificate) should be filed with the request. Any request for hearing shall identify specifically the issues of fact or law that are disputed. A person who so requests will be notified of any hearing, if ordered, and will receive a copy of any notice or order issued in the matter. After said date, the application(s) and/or declaration(s), as filed or as amended, may be granted and/or permitted to become effective.

#### New Century Energies, Inc., et al. (70-9007)

New Century Energies, Inc. ("NCE"), a registered holding company, Public Service Company of Colorado ("PSCo"), Cheyenne Light, Fuel and Power Company ("Cheyenne"), New Century Services, Inc., WestGas Interstate Inc., NC Enterprises, Inc., New Century International, Inc., and its subsidiary companies, e prime, inc. and its subsidiary companies PS Colorado Credit Corporation ("PSCCC"), Natural Fuels Corporation, PSR Investments, Inc., Green & Clear Lakes Company, 1480 Welton, Inc., each located at 1225 Seventeenth Street, Denver, Colorado 80202-5534, and Southwestern Public Service Company ("SPS"), Tyler at Sixth, Amarillo, Texas 79101, and Quixx Corporation and its subsidiary companies, Amarillo National's Plaza/Two, 500 South Tyler, Suite 1100, Lobby Box 254, Amarillo, Texas 79101-2442, and Utility Engineering Corporation and its subsidiary companies, each located at Utility Engineering Plaza, 5601 I-40 West, Amarillo, Texas 79101-4605 (collectively, "Applicants"),<sup>1</sup> have filed

<sup>1</sup> Fuel Resources Development Company was an applicant in the original filing. Since then it has been dissolved.

a post-effective amendment under sections 6(a), 7 and 12(b) of the Act and rules 43, 45 and 53 under the Act to their application-declaration under sections 6(a), 7, 9(a), 10, 12(b), 12(c), 32 and 33 of the Act and rules 42, 43, 45 and 53 under the Act.

By order dated August 1, 1997 (HCAR No. 26750) ("August 1997 Order"), the Commission authorized, through December 31, 1999:

(1) External financings ("External Financings") by PSCo, SPS and Cheyenne ("Utility Subsidiaries"), NCE and certain of its nonutility subsidiaries; (2) intrasystem financing, including guarantees, among NCE and its subsidiary companies and among subsidiary companies; (3) the issuance of types of securities not exempt under rules 45 and 52; (4) the Utility Subsidiaries to enter into risk management instruments; (5) NCE's subsidiary companies to alter their capital stock; and (6) the formation by NCE's subsidiary companies of new financing entities and the issuance of securities and related guarantees by the new financing entities and one existing financing entity.

The External Financing authorized in the August 1997 Order include: (1) The issuance by NCE of common stock, par value \$1.00 per share, ("Common Stock") for an aggregate offering price of up to \$535 million (exclusive of Common Stock issued for benefit plans and dividend reinvestment plans), and (2) short-term debt aggregating not more than \$100 million outstanding at any one time, which limit is to increase by an additional \$125 million in the event that PSCCC, presently a subsidiary of PSCo, becomes a direct subsidiary of NCE. The intrasystem financing authorization includes \$50 million for guarantee and credit support arrangements among the subsidiaries of NCE.

Applicants now propose that the August 1997 Order be modified to increase the amount of: (1) Common Stock issuances by NCE (exclusive of Common Stock issued for benefit plans and dividend reinvestment plans) from \$535 million to \$745 million; (2) short-term debt issuances and sales from \$100 million to \$200 million (with the retention of the \$125 million increase in