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NORA

THE NATIONAL OCCUPATIONAL RESEARCH AGENDA: copies of this publication may be obtained from The National Institute of Occupational Safety and Health, Publications Office, 4676 Columbia Parkway, Cincinnati, OH 45226-1998 or phone 1-800-356-4674, and is available through the NIOSH Homepage; <http://www.cdc.gov/niosh/nora.html>.

Useful References

The following documents may also provide useful information:

- Bracht N., Kingsbury, L. (1990) Community organization principles in health promotion: A five-state model. In Bracht N. (ed.), *Health Promotion at the Community Level*. (Newbury Park, CA, Sage), pp. 66-90.
- Institute of Medicine (1997) *Improving Health in the Community: A Role for Performance Monitoring*. (Washington, DC, National Academy Press).
- Layne LA, Castillo DN, Stout N, Cutlip P (1994). Adolescent occupational injuries requiring hospital emergency department treatment: A nationally representative sample. *American Journal of Public Health*, 84(4): 657-660.

Dated June 5, 1998.

Diane D. Porter,

Acting Director, National Institute For Occupational Safety and Health, Centers for Disease Control and Prevention (CDC).

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DEPARTMENT OF HEALTH AND HUMAN SERVICES

Centers for Disease Control and Prevention

National Institute for Occupational Safety and Health

[Announcement 98053]

Deep-South Center for Agricultural Disease and Injury Research, Education, and Prevention; Notice of Availability of Funds for Fiscal Year 1998

Purpose

The Centers for Disease Control and Prevention (CDC), the nation's prevention agency, announces the availability of funds for fiscal year (FY)

1998 for a cooperative agreement to establish an Agricultural Safety and Health Center, The Deep-South Center for Agricultural Disease and Injury Research, Education, and Prevention.

This announcement is related to the priority area of Occupational Safety and Health. The National Institute for Occupational Safety and Health (NIOSH) has created a National Occupational Research Agenda (NORA). NORA is a vision of the Institute to conduct occupational safety and health research to adequately serve the needs of workers in the United States.

In 1990, Congress established a National Program for Occupational Safety and Health in Agriculture (Ag) within NIOSH to lead a national effort in surveillance, research, and intervention. This program has had a "significant and measurable impact" on reducing adverse health effects among agricultural workers. Since 1990, eight Ag Centers have been established nationally. The Ag Centers were established to conduct research, education, and prevention projects to address the nation's pressing agricultural safety and health problems. Geographically, the Ag Centers are distributed throughout the nation to be responsive to the agricultural safety and health issues unique to the different regions. Through these efforts, the Ag Centers help to ensure that actions to prevent disease and injury in agriculture are taken based upon scientific findings.

The purpose of this Agricultural Center will be to conduct research, education, and prevention programs addressing agricultural safety and health problems in the geographic region served. A special focus of this Deep-South Center will address safety and health problems of special agricultural populations in the region including minority, migrant, and low-income farmers and farm workers.

Eligible Applicants

Eligible applicants are limited to organizations that serve the target populations of Alabama, Florida and Mississippi. The successful applicant would have a primary focus in any or all of the target States. Therefore eligible applicants include State and private universities and university-affiliated, nonprofit and for-profit medical centers.

These States have been determined to be the most appropriate target populations for the following reason:

1. The sociocultural and demographic aspects of southern agricultural populations are unique relative to other regions of the country. Most notable are the racial diversity, poverty, and illiteracy unique to this region.

Southern farmers and migrant worker populations include African-Americans, Jamaicans, Haitians, Laotians, Thais, and other racial and ethnic minorities. Several studies suggest that African-American workers in agricultural production and services have higher fatality rates as compared to other racial or ethnic groups nationally.

2. There was no downward trend in fatality rates for the 10-year period, 1980-1989, for African-Americans as had been experienced by Caucasians and Hispanics in the South. (American Journal of Industrial Medicine, 1991)

3. In 1995, the *Kennedy SM et al* published the conclusions of an external review of funded NIOSH agricultural projects. The review panel recommended an expansion of the program to include other regions with a high degree of agricultural activity not adequately served under the current program. Specifically mentioned were major deep-south agricultural areas. This announcement for these specific States will allow this program to be implemented.

Availability of Funds

Approximately \$350,000 is available in FY 1998 to fund one Agricultural Center. The amount of funding available may vary and is subject to change. This award is expected to begin on or about September 30, 1998. The award will be made for a 12-month budget period within a project period not to exceed three years. Continuation awards within the project period will be made on the basis of satisfactory progress and availability of funds.

Program Requirements

In conducting activities to achieve the purpose of this program, the recipient will be responsible for activities under A. (Recipient Activities), and CDC/NIOSH will be responsible for the activities listed under B. (CDC/NIOSH Activities).

A. Recipient Activities

1. Develop and conduct research related to the prevention of occupational disease and injury of agricultural workers and their families, with an emphasis on multi-disciplinary research and the development and evaluation of control technologies.

2. Develop a research protocol(s) for agricultural disease and injury research, education, and prevention which would include collaboration with regional stakeholders as appropriate.

3. Develop, implement and evaluate model educational, outreach, and intervention programs promoting health and safety for the targeted populations.

4. Develop, implement and evaluate model programs including control technologies for the prevention of illness and injury among agricultural workers and their families.

5. Provide assistance and direction to community-based groups in the area for the development and implementation of community projects including intervention research and prevention demonstration projects for preventing work related injuries and illness among farm workers and their families.

6. Serve as a center for consultation and/or training for agricultural safety and health professionals.

7. Develop linkages and communication with other governmental and non-governmental bodies involved in agricultural health and safety.

8. Disseminate research results and relevant health and safety education and training information.

9. Collaborate with other CDC/NIOSH Agricultural Centers, to develop and utilize a uniform evaluation scheme for Agricultural Center research, education/training, and outreach/intervention activities.

B. CDC/NIOSH Activities

1. Provide technical assistance through site visits and correspondence in the areas of program development, implementation, maintenance, and priority setting related to the cooperative agreement.

2. Provide scientific collaboration where needed.

3. Assist in the reporting and dissemination of research results and relevant health and safety education and training information to appropriate Federal, State, and local agencies, health-care providers, the scientific community, agricultural workers and their families, management and union representatives, and other CDC/NIOSH Centers for agricultural disease and injury research, education, and prevention.

Application Content

Use the information in the Program Requirements, Other Requirements, and Evaluation Criteria section to develop the application content. Your application will be evaluated on the criteria listed, so it is important to follow them in laying out your program plan.

The entire application, including appendices, should not exceed 100 pages and the Proposal Narrative section contained therein should not exceed 50 pages. Pages should be clearly numbered and a complete index to the application and any appendices

included. The original and each copy of the application must be submitted unstapled and unbound. All materials must be typewritten, double-spaced, with unrounded type (font size 12 point) on 8½" by 11" paper, with at least 1" margins, headers, and footers, and printed on one side only. Do not include any spiral or bound materials or pamphlets.

A. Title Page

The heading should include the title of grant program, project title, organization, name and address, project director's name address and telephone number.

B. Abstract

A one page, singled-spaced, typed abstract must be submitted with the application. The heading should include the title of the grant program, project title, organization, name and address, project director and telephone number. This abstract should include a work plan identifying activities to be developed, activities to be completed, and a time-line for completion of these activities.

C. Proposal Narrative

The narrative of each application must:

1. Briefly state the applicant's understanding of the need or problem to be addressed, the purpose, and goals over the 3 year period of the cooperative agreement.

2. Describe in detail the objectives and the methods to be used to achieve the objectives of the project. The objectives should be specific, time-phased, measurable, and achievable during each budget period. The objectives should directly relate to the program goals. Identify the steps to be taken in planning and implementing the objectives and the responsibilities of the applicant for carrying out the steps.

3. Provide the name, qualifications, and proposed time allocation of the Project Director who will be responsible for administering the project. Describe staff, experience, facilities, equipment available for performance of this project, and other resources that define the applicant's capacity or potential to accomplish the requirements stated above. List the names (if known), qualifications, and time allocations of the existing professional staff to be assigned to (or recruited for) this project, the support staff available for performance of this project, and the available facilities including space.

4. Document the applicant's expertise, and extent of involvement in the area of safety and health.

5. Provide letters of support or other documentation demonstrating collaboration of the applicant's ability to work with diverse groups, establish linkages, and facilitate awareness information.

6. Human Subjects: State whether or not Humans are subjects in this proposal. (See *Human Subjects* in the Evaluation Criteria and Other Requirements sections).

7. Inclusion of women, ethnic, and racial groups: Describe how the CDC policy requirements will be met regarding the inclusion of women, ethnic, and racial groups in the proposed research. (See *Women, Racial and Ethnic Minorities* in the Evaluation Criteria and Other Requirements sections).

D. Budget

Provide a detailed budget which indicates anticipated costs for personnel, equipment, travel, communications, supplies, postage, and the sources of funds to meet these needs. The applicant should be precise about the program purpose of each budget item. For contracts described within the application budget, applicants should name the contractor, if known; describe the services to be performed; and provide an itemized breakdown and justification for the estimated costs of the contract; the kinds of organizations or parties to be selected; the period of performance; and the method of selection. Place the budget narrative pages showing, in detail, how funds in each object class will be spent, directly behind form 424A. Do not put these pages in the body of the application. CDC may not approve or fund all proposed activities.

Submission and Deadline

A. Preapplication Letter of Intent

Although not a prerequisite of application, a non-binding letter of intent-to-apply is requested from potential applicants. It should be postmarked no later than June 24, 1998. The letter should identify program announcement number 98053, and name of the principal investigator. The letter of intent will enable CDC to plan the review more efficiently. The letter should be submitted to: Victoria F. Sepe, Grants Management Specialist, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention (CDC), 255 East Paces Ferry Road, NE., Room 300, Mailstop E-13, Atlanta, Georgia 30305-2209.

B. Application

Submit an original and two copies of the application PHS 5161-1 (Revised 5/96, OMB Number 0937-0189). Forms are in the application kit.

On or before July 24, 1998, submit to Victoria Sepe, Grants Management Specialist, Grants Management Branch, Procurement and Grants Office, Announcement 98053, Centers for Disease Control and Prevention (CDC), 255 East Paces Ferry Road, NE., Room 300, Mailstop E-13, Atlanta, GA 30305-2209.

If your application does not arrive in time for submission to the independent review group, it will not be considered in the current competition unless you can provide proof that you mailed it on or before the deadline (i.e., receipt from U.S. Postal Service or a commercial carrier; private metered postmarks will not be acceptable as proof of timely mailing).

Evaluation Criteria

Each application will be evaluated individually against the following criteria by an independent review group appointed by CDC. Applicants will be evaluated according to the following criteria:

A. Responsiveness to the objectives of the cooperative agreement program, including the applicant's understanding of the objectives of the proposed cooperative agreement and the relevance of the proposal to the objectives. (20 percent)

B. Feasibility of meeting the proposed goals of the cooperative agreement program including the proposed schedule for initiating and accomplishing each of the activities of the cooperative agreement and the proposed method for evaluating the accomplishments. (20 percent)

C. 1. Strength of the program design in addressing the distinct characteristics, specific populations, and needs in agricultural research and education for the region. This included a balanced program to address agricultural safety and health problems in the three States included in this area. This also includes program responsiveness to address the safety and health needs of special populations in this area including minority, migrant, and low-income agricultural populations, women, and children.

2. The degree to which the applicant has met the CDC policy requirements regarding the inclusion of women, ethnic, and racial groups in the proposed research. This includes: a. The proposed plan for the inclusion of both sexes and racial and ethnic minority

populations for appropriate representation; b. The proposed justification when representation is limited or absent; c. A statement as to whether the design of the study is adequate to measure differences when warranted; and d. A statement as to whether the plans for recruitment and outreach for study participants include the process of establishing partnerships with community(ies) and recognition of mutual benefits will be documented. (Total 20 percent for C1 & C2)

D. Training and experience of proposed Program Director, staff, and organization. This includes: (1) a Program Director who is a distinguished scientist and technical expert and staff with training or experience sufficient to accomplish proposed program, and (2) a director, staff, and organization with proven accomplishments in the field of agricultural safety and health and the infrastructure necessary to access the agricultural populations in the regions served by the Agricultural Center. (20 percent)

E. Strength of the proposed program for agricultural safety and health in the areas of prevention, research, education, and multi-disciplinary approach. (10 percent)

F. Efficiency of resources and novelty of program. This includes the efficient use of existing and proposed personnel with assurances of a major time commitment of the Project Director to the program and the novelty of program approach. (5 percent)

G. The strength of program plans for development and implementation of a uniform evaluation scheme for Agricultural Center research, education/training, and outreach/intervention activities. (5 percent)

H. Human Subjects (Not Scored)

Whether or not exempt from the DHHS regulations, are procedures adequate for protection of human subjects. Recommendations on the adequacy of protections include: (1) protections appear adequate, and there are no comments to make or concerns to raise, (2) protections appear adequate, but there are comments regarding the protocol, (3) protections appear inadequate and the Objective Review Group has concerns related to human subjects, or (4) disapproval of the application is recommended because the research risks are sufficiently serious and protection against the risks are inadequate as to make the entire application unacceptable.

I. Budget Justification (Not Scored)

The budget will be evaluated to the extent that it is reasonable, clearly

justified, and consistent with the intended use of funds.

Technical Reporting Requirements

Provide CDC with an original plus two copies of:

A. Annual progress report which includes:

(1) a comparison of actual accomplishment to the goals established for the period; (2) the reasons for lack of success if established goals were not met; and (3) other pertinent information including, when appropriate, analysis and explanation of unexpectedly high costs for performance no more than 30 days after the end of the budget period.

B. Financial status report, no more than 90 days after the end of the budget period.

C. Final financial status report and performance report, no more than 90 days after the end of the project period.

Send all reports to: Victoria Sepe, Grants Management Specialist, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention (CDC), 255 East Paces Ferry Road, NE., Room 300, Mailstop E-13, Atlanta, GA 30305-2209.

The following additional requirements are applicable to this program. For a complete description of each, see Addendum 1 in the application kit.

AR98-1 Human Subjects Requirements

AR98-2 Requirements for Inclusion of Women and Racial and Ethnic Minorities in Research

AR98-9 Paperwork Reduction Act Requirements

AR98-10 Smoke-Free Workplace Requirements

AR98-11 Healthy People 2000

AR98-12 Lobbying Restrictions

Authority and Catalog of Federal Domestic Assistance Number

This program announcement is authorized under the Public Health Service Act, as amended, Section 301(a) [42 U.S.C. 241(a)]; and the Occupational Safety and Health Act of 1970, Sections 20(a) and 22 (29 U.S.C. 669(a) and 671). The applicable program regulation is 42 CFR Part 52. The Catalog of Federal Domestic Assistance number is 93.283.

Where To Obtain Additional Information

To receive additional written information call 1-888-GRANTS4. You will be asked to leave your name, address, and phone number and will need to refer to NIOSH Announcement 98053. You will receive a complete program description, information on

application procedures, and application forms. CDC will not send application kits by facsimile or express mail.

If you have questions after reviewing the contents of all the documents, business management technical assistance may be obtained from: Victoria Sepe, Grants Management Specialist, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention (CDC), Room 300, 255 East Paces Ferry Road, NE., Mailstop E-13, Atlanta, GA 30305-2209, telephone (404) 842-6804, Internet: vxw1@cdc.gov.

Programmatic technical assistance may be obtained from:

Greg Kullman, Ph.D., CIH, National Institute for Occupational Safety and Health (NIOSH), Centers for Disease Control and Prevention (CDC), 1095 Willowdale Rd., Mailstop P-04/18, Morgantown, WV 26505, telephone (304) 285-5711, Internet: gjk1@cdc.gov,

OR

Vincent R. Nathan, Ph.D., M.P.H., National Institute for Occupational Safety and Health (NIOSH), Centers for Disease Control and Prevention (CDC), 1600 Clifton Rd., NE., Mailstop D-40, Atlanta, GA 30333, telephone (404) 639-1493, Internet: van3@cdc.gov.

This and other CDC announcements are available through the CDC Homepage on the Internet. The address for the CDC Homepage is: <http://www.cdc.gov>.

Copies of the publication, The National Occupational Research Agenda (NORA) may be obtained from The National Institute of Occupational Safety and Health, Publications Office, 4676 Columbia Parkway, Cincinnati, OH 45226-1998 or telephone 1-800-356-4674, and is available through the NIOSH Homepage: "<http://www.cdc.gov/niosh/nora.html>".

Dated: June 5, 1998.

Diane D. Porter,

Acting Director, National Institute for Occupational Safety and Health, Centers for Disease Control and Prevention (CDC).

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DEPARTMENT OF HEALTH AND HUMAN SERVICES

Centers for Disease Control and Prevention

[Program Announcement 98071]

Demonstration of School-Based Violence Prevention

A. Purpose

The Centers for Disease Control and Prevention (CDC) announces the availability of fiscal year (FY) 1998 funds for a cooperative agreement program for the Demonstration of School-Based Violence Prevention. This program addresses the "Healthy People 2000" priority area of Violent and Abusive Behavior.

The purpose of the program is to support quality implementation of violence prevention programs that will serve as demonstration sites for school-based violence prevention programs. Applications will be considered in the area of implementing proven school-based violence prevention programs that target youth (aged 5-19, not necessarily inclusive of all ages) who are in elementary, middle, and high-schools.

B. Eligible Applicants

Applications may be submitted by public and private nonprofit organizations and by governments and their agencies; that is, universities, colleges, research institutions, hospitals, other public and private nonprofit organizations, State and local governments or their bona fide agents, and federally recognized Indian tribal governments, Indian tribes, or Indian tribal organizations. Public and private elementary, middle, and high schools, and school districts are also encouraged to apply.

Note: Effective January 1, 1996, Pub. L. 104-65 states that an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 which engages in lobbying activities shall not be eligible to receive Federal funds constituting an award, grant (cooperative agreement), contract, loan, or any other form.

C. Availability of Funds

Approximately \$1,100,000 is available in FY 1998 to fund up to four projects to implement, and monitor programs designed to prevent violence among school aged youth. Awards are expected to range from \$250,000 to \$300,000 with an average of \$275,000 for each 12-month budget period.

It is expected that the new awards will begin on or about September 30, 1998. Awards will be made for a 12-month budget period within a 4-year

project period. Funding estimates may vary and are subject to change.

Continuation awards within the project periods will be made on the basis of satisfactory progress as evidenced by required reports and the availability of funds.

Funding Preferences

In making awards, priority consideration will be given to ensuring a geographic balance, a representative mixture of target groups, and a diversity of program strategies.

D. Cooperative Activities

In conducting activities to achieve the purpose of this program, the recipient will be responsible for the activities under 1. (Recipient Activities), and CDC will be responsible for the activities listed under 2. (CDC Activities).

1. Recipient Activities

- a. Develop and implement an intervention protocol (include a minimum of two different strategies).
- b. Develop and pilot test data collection instruments.
- c. Analyze data & interpret findings.
- d. Establish an advisory committee that will address issues related to violence to ensure community engagement.
- e. Develop collaborative relationships with voluntary, community-based public and private organizations and agencies already involved in preventing violence.
- f. Compile and disseminate the results from the project.

2. CDC Activities

- a. Collaborate on the development of the intervention protocol.
- b. Provide technical assistance on the development and evaluation of the data collection instruments.
- c. Provide up-to-date scientific information about youth violence prevention.
- d. Assist in the transfer of information and methods developed in these projects to other prevention programs.

E. Application Content

Use the information in the Program Requirements, Other Requirements, and Evaluation Criteria sections to develop the application content. Your application will be evaluated on the criteria listed, so it is important to follow them in laying out your program plan.

The narrative should be unbound and no more than 30 double-spaced pages, printed on one side, with one inch margins, and un-reduced font (no smaller than 12 cpi).