

DEPARTMENT OF HEALTH AND HUMAN SERVICES

Centers for Disease Control and Prevention

[Program Announcement 99064]

National Institute for Occupational Safety and Health; Research on Young Worker Safety and Health Risks in Construction; Notice of Availability of Funds

A. Purpose

The Centers for Disease Control and Prevention (CDC) announces the availability of fiscal year (FY) 1999 funds for a cooperative agreement program for researching safety and health risks to young workers associated with specific jobs or tasks in the construction industry. This program addresses the "Healthy People 2000" priority area(s) of Occupational Safety and Health. The purpose of the program is to provide empirical data that can guide efforts to prevent deaths and injuries of youth less than 18 years of age working in construction in the United States, with a focus on data needed to determine if changes are needed in existing regulations that prohibit youth less than 18 years of age from working in particularly hazardous activities (29 CFR Part 570, Subpart E—Hazardous Orders).

B. Eligible Applicants

Applications may be submitted by public and private nonprofit and for-profit organizations and by governments and their agencies; that is, universities, colleges, research institutions, hospitals, other public and private nonprofit and for-profit organizations, State and local governments or their bona fide agents, and federally recognized Indian tribal governments, Indian tribes, or Indian tribal organizations.

Note: Pub. L. 104-65 states that an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 that engages in lobbying activities is not eligible to receive Federal funds constituting an award, grant, cooperative agreement, contract, loan, or any other form.

C. Availability of Funds

Approximately \$550,000 is available in FY 1999 to fund approximately three to five awards. It is expected that the average award will be \$145,000, ranging from \$90,000 to \$180,000. It is expected that the awards will begin on or about September 1, 1999, and will be made for a 12-month budget period within a project period of up to three years. Funding estimates may change.

Continuation awards within an approved project period will be made on the basis of satisfactory progress as evidenced by required reports and the availability of funds.

Funding Preferences

Funding preferences may be given to applications covering differing types of construction work to obtain information on a wide spectrum of construction activities and minimize duplicative efforts.

D. Cooperative Activities

In conducting activities to achieve the purpose of this program, the recipient will be responsible for activities under A. (Recipient Activities), and CDC/NIOSH will be responsible for the activities listed under B. (CDC/NIOSH Activities).

A. Recipient Activities

1. Develop and implement a study protocol.
2. Analyze data and interpret findings.
3. Disseminate study results to the occupational safety and health community.
4. Publish study findings.

B. CDC/NIOSH Activities

1. Provide scientific and technical collaboration in the development of the study design, protocol, and data analysis.
2. Assist in the development of a research protocol for Institutional Review Board (IRB) review by all cooperating institutions participating in the research project. The CDC IRB will review and approve the protocol initially and on at least an annual basis until the research project is completed.
3. Assist awardees on data analysis, and interpretation of findings.

E. Application Content

Use the information in the Cooperative Activities, Other Requirements and Evaluation Criteria sections to develop the application content. Your application will be evaluated on the criteria listed, so it is important to follow them in laying out your program plan. The narrative should be no more than 30 double-spaced pages. The original and each copy of the application must be submitted unstapled and unbound. All materials must be typewritten, double-spaced, with unredacted type (font size 12 point) on 8½" by 11" paper, with at least 1" margins, headers, and footers, and printed on one side only. Do not include any spiral or bound materials or pamphlets. Appendices should have

indexes and include (1) Support letters (2) information on key personnel (3) other supporting documentation.

F. Submission and Deadline

Letter of Intent (LOI)

Your letter of intent should include the following information. The letter of intent must be submitted on or before May 28, 1999, to: Sheryl Heard, Grants Management Specialist, Grants Management Branch, Procurement and Grants Office, Announcement 99064, Centers for Disease Control and Prevention (CDC), 2920 Brandywine Road, Room 3000, Atlanta, Georgia 30341.

Application

Submit the original and two copies of PHS 5161-1 (OMB Number 0937-0189). Forms are in the application kit. On or before June 30, 1999, submit the application to: Sheryl Heard, Grants Management Specialist, Grants Management Branch, Procurement and Grants Office, Announcement 99064, Centers for Disease Control and Prevention (CDC), 2920 Brandywine Road, Room 3000, Atlanta, Georgia 30341.

Deadline: Applications shall be considered as meeting the deadline if they are either:

- (a) Received on or before the deadline date; or
- (b) Sent on or before the deadline date and received in time for orderly processing. (Applicants must request a legibly dated U.S. Postal Service postmark or obtain a legibly dated receipt from a commercial carrier or U.S. Postal Service. Private metered postmarks shall not be acceptable as proof of timely mailing.)

Late Applications: Applications which do not meet the criteria in (a) or (b) above are considered late applications, will not be considered, and will be returned to the applicant.

G. Evaluation Criteria

Each application will be evaluated individually against the following criteria by an independent review group appointed by CDC.

1. Background and Need (20 points total)

The extent to which the applicant understands the general objectives of the proposed agreement:

- (a) describing available data on youth employment and occupational injuries and hazardous exposures in construction work (5 points);
- (b) identifying gaps in information on safety and health risks for youth working in construction (5 points); and,

(c) illustrating how the research results could be used to guide decisions about revisions to existing Hazardous Orders (work activities prohibited for youth less than 18 years of age because they are considered especially hazardous) and/or identifying new areas for consideration as potential Hazardous Orders. 10 points

2. Study Design (20 points)

The extent to which specific research questions and/or hypotheses are described. The extent to which the applicant provides a detailed description of overall design and methods selected for the study. The extent to which the applicant describes the theory and rationale for the study, and if relevant, how factors such as limited employment of youth less than 18 years in specific occupations or tasks (e.g. because of existing Hazardous Orders or Human Subject concerns) are factored into the study design. The extent to which the applicant demonstrates that the study population and/or setting can be generalized to other work settings doing similar work.

3. Study Population and Methods (15 points total)

The extent to which the proposed study will meet study objectives. Extent to which the applicant describes the study population, including information on the ages and work experience of the study population. The extent to which the study population and/or setting in which the study or analyses are undertaken are adequate for achieving the desired objectives. The extent to which the applicants demonstrate the ability to address modifying factors that may vary across work sites, such as characteristics of equipment, training and supervision, and job experience of workers. (10 points)

The extent to which the applicant has met the CDC policy requirements regarding the inclusion of women, ethnic, and racial groups in the proposed research. This includes: (a) The proposed plan for the inclusion of both sexes and racial and ethnic minority populations for appropriate representation; including anticipated levels of representation of these groups in the sampling plan; (b) the proposed justification when representation is limited or absent; (c) a statement as to whether the design of the study is adequate to measure differences when warranted; d) a statement as to whether the plans for recruitment and outreach for study participants include the process of establishing partnerships with community(ies) and recognition of mutual benefits. (5 points)

4. Goals and Objectives (15 points)

The extent to which the applicant has included goals and objectives that are specific, measurable, time-phased, feasible to be accomplished during the project period, and which address all activities necessary to accomplish the purpose of the application. The extent to which the applicant clearly states the evaluation method for evaluating the accomplishments. The extent to which a qualified plan is proposed that will help achieve the goals stated in the application.

5. Staffing, Facilities and Resources (15 points)

The extent to which job descriptions, proposed staffing, staff qualifications and experience, and curricula vitae for both the proposed and current staff indicate the applicant's ability to carry out the objectives of the program. Adequacy of the applicant's facilities, equipment, and other resources available for performance of the project.

6. Collaboration (15 points)

The extent to which concurrence with the applicant's plans by all other involved parties is specific and documented, e.g. support for proposed activities as well as commitment to participate (e.g. letters of support and/or memorandum of understanding). The extent to which the partners are clearly described and their qualifications for their component of the proposed work are explicitly stated. The extent to which the applicants demonstrate access to work sites or datasets that are critical to study completion.

7. Budget Justification (Not Scored)

The budget will be evaluated to the extent that it is reasonable, clearly justified, and consistent with limited use of funds.

8. Human Subjects (Not Scored)

If human subjects will be involved, the extent to which the applicant describes how will they be protected, i.e., describe the review process which will govern their participation.

H. Other Requirements

Technical Reporting Requirements
Provide CDC with original plus two copies of:

1. Semiannual progress reports;
 2. Financial status report, no more than 90 days after the end of the budget period; and
 3. Final financial status and performance reports, no more than 90 days after the end of the project period.
- Send all reports to: Sheryl Heard, Grants Management Specialist,

Procurement and Grants Office, Centers for Disease Control and Prevention (CDC), 2920 Brandywine Road, Room 3000, Atlanta, GA 30341.

The following additional requirements are applicable to this program. For a complete description of each, see Attachment I (included in the application package).

- AR-1 Human Subjects Requirements.
- AR-2 Requirements for Inclusion of Women and Racial and Ethnic Minorities in Research.
- AR-9 Paperwork Reduction Act Requirements.
- AR-10 .. Smoke-Free Workplace Requirements.
- AR-11 .. Healthy People 2000.
- AR-12 .. Lobbying Restrictions.

I. Authority and Catalog of Federal Domestic Assistance Number

This program is authorized under section 20 (a) and 22 (e)(7) of the Occupational Safety and Health Act of 1970, [29 U.S.C. 669 (a) and 671 (e)(7)]. The Catalog of Federal Domestic Assistance number is 93.262.

J. Where to Obtain Additional Information

To receive additional written information and to request an application kit, call 1-888-GRANTS4 (1-888 472-6874). You will be asked to leave your name and address and will be instructed to identify the Announcement number of interest.

If you have questions after reviewing the contents of all the documents, business management technical assistance may be obtained from: Sheryl Heard, Grants Management Specialist, Procurement and Grants Office, Announcement 99064, Centers for Disease Control and Prevention (CDC), 2920 Brandywine Road, Room 3000, Atlanta, GA 30305-2209, telephone (770) 488-2723, Email address SLH3@cdc.gov.

For program technical assistance, contact: Dawn N. Castillo, M.P.H., Telephone: (304) 285-6012, Email: dnc0@cdc.gov, National Institute for Occupational Safety and Health, Centers for Disease Control and Prevention (CDC), Division of Safety Research, 1095 Willowdale Road, Mailstop P-180, Morgantown, WV 26505.

See also the CDC home page on the Internet: <http://www.cdc.gov>.

Special Hazard Review: Child Labor Research Needs: Recommendations from the NIOSH Child Labor Working Team. Cincinnati, OH: U.S. Department of Health and Human Services, Public Health Service, Centers for Disease Control and Prevention, National Institute for Occupational Safety and Health, DHHS (NIOSH) Publication No.

97-143, 1997. 59 FR 26164. Department of Labor: Child Labor Regulations, Orders and Statements of Interpretation; Proposed Rules, May 13, 1994.

Dated: April 2, 1999.

Diane D. Porter,

Acting Director, National Institute for Occupational Safety and Health, Centers for Disease Control and Prevention (CDC).

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DEPARTMENT OF HEALTH AND HUMAN SERVICES

Centers for Disease Control and Prevention

[Program Announcement 99029]

Cooperative Agreement for Promoting Prevention in Managed Care Notice of Availability of Funds

A. Purpose

The Centers for Disease Control and Prevention (CDC) announces the availability of fiscal year (FY) 1999 funds for a cooperative agreement program for Promoting Prevention in Managed Care. This announcement relates to all of the priority areas of "Healthy People 2000." Its purpose is to promote the attainment of the objectives outlined in "Healthy People 2000" through strengthening the infrastructure supporting the science and practice of prevention in managed care.

B. Eligible Applicants

Applications may be submitted by private sector, nonprofit, managed care membership organizations who: (1) Provide an array of services and products (e.g., technical support, publications, training and continuing education, communication and information sharing, etc.) to member plans in at least 20 States; and (2) whose member plans and affiliated entities can demonstrate past and current experience conducting public domain, prevention research (i.e., research in the areas of health promotion, disease prevention, and chronic disease management) in the managed care environment.

Note: Pub. L. 104-65 states that an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 that engages in lobbying activities is not eligible to receive Federal funds constituting an award, grant, cooperative agreement, contract, loan, or any other form.

C. Availability of Funds

Approximately \$400,000 is available in FY 1999 for approximately 2-3 awards. It is expected that the average

award will range from approximately \$133,000 to \$200,000 per award. It is expected that awards will begin on or about September 1, 1999, for a 12 month budget period within a project period of up to 5 years. Funding estimates may change.

Continuation awards within an approved project period will be made on the basis of satisfactory progress as evidenced by the successful completion of required activities and reports, and by the availability of funds.

D. Program Requirements

In conducting activities to achieve the purposes of this program, the recipient will be responsible for the activities under Recipient Activities, and CDC will be responsible for the activities under CDC Activities.

Recipient Activities

1. Develop and strengthen mechanisms, programs, and initiatives which further the purpose, goals and objectives of this cooperative agreement.

2. Facilitate the timely, bi-directional flow of information between the public health and managed care communities.

3. Facilitate communication, information sharing, and collaboration among the public, private and academic research communities.

4. Develop conferences, meetings, seminars and symposia which explore and expand areas of commonality around prevention between the public health and managed care sectors.

5. Initiate and/or coordinate multiplan and network managed care, prevention research initiatives.

CDC Activities

1. Provide technical assistance and monitor the progress of this cooperative agreement.

2. Foster the formation and growth of national and regional public-private partnerships which support prevention research and evidence-based prevention practice.

3. Assist with the development of conferences, meetings, seminars, and symposia which explore and expand areas of commonality around prevention between the public health and managed care sectors.

E. Application Content

Use the information in the Program Requirements, Other Requirements, and Evaluation Criteria sections to develop the application content. Your application will be evaluated on the criteria listed, so it is important to follow them in laying out your program plan.

F. Submission and Deadline

Submit the original and five copies of PHS-398 (OMB Number 0925-0001) (adhere to the instructions on the Errata Instruction Sheet for PHS 398). Forms are in the application kit. On or before June 7, 1999, submit the application to: Sharron Orum, Grants Management Specialist, Procurement and Grants Office, Grants Management Branch, Centers for Disease Control and Prevention (CDC), 2920 Brandywine Road, Room 3000, Atlanta, Georgia 30341, Announcement 99029.

Deadline: Applications shall be considered as meeting the deadline if they are either: (a) Received on or before the deadline date; or (b) Sent on or before the deadline date and received in time for objective review. (Applicants must request a legibly dated U.S. Postal Service postmark or a legibly dated receipt from a commercial carrier or U.S. Postal Service. Private metered postmarks shall not be acceptable as proof of time and date of mailing.)

Late Applications: Applications which do not meet the criteria in (a) or (b) above are considered late applications, will not be considered, and will be returned to the applicant.

G. Evaluation Criteria

Each application will be evaluated individually against the following criteria by an independent review group appointed by CDC.

1. Organizational Description (15 percent)

The extent to which the applicant's existing organizational structure, mission, goals, objectives, activities, functions and membership are consistent with the purpose of this Program Announcement.

2. Goals and Objectives (35 percent)

The extent to which the applicant's proposed goals and objectives meet the required activities specified under the "Recipient Activities" section of this announcement, and are measurable, specific, time-phased and realistic.

3. Methods (35 percent)

The extent to which the applicant's plan for conducting the required activities is realistic and appropriate to the stated goals and objectives, acceptable to the communities it seeks to serve, and feasible within existing programmatic and fiscal restrictions.

4. Evaluation (15 percent)

The extent to which the applicant has developing mechanisms for evaluating and reevaluating progress toward stated goals which include end-user feedback.