

Agency name	Organization name	Position title	Authorization number	Vacate date
DEPARTMENT OF EDUCATION.	Office of the Deputy Secretary	Special Assistant	DB110019	8/4/2013
DEPARTMENT OF ENERGY.	National Nuclear Security Administration	Special Assistant	DE120009	8/9/2013
	Office of the Secretary	Special Assistant to the Chief of Staff.	DE130042	8/16/2013
DEPARTMENT OF HOMELAND SECURITY.	U.S. Immigration and Customs Enforcement	Director of Congressional Relations.	DM090458	8/10/2013
	Office of the Assistant Secretary for Public Affairs.	Press Assistant	DM110127	8/10/2013
	Office of the Under Secretary for National Protection and Programs Directorate.	Program Coordinator	DM110192	8/23/2013
DEPARTMENT OF JUSTICE.	Office of Public Affairs	Deputy Director	DJ120009	8/2/2013
DEPARTMENT OF LABOR.	Office of the Deputy Secretary	Senior Advisor	DL100053	8/10/2013
DEPARTMENT OF THE INTERIOR.	Secretary's Immediate Office	White House Liaison	DI120009	8/10/2013
		Special Assistant For Advance.	DI110049	8/16/2013
DEPARTMENT OF THE TREASURY.	Assistant Secretary (Legislative Affairs)	Special Assistant	DY110094	8/10/2013
DEPARTMENT OF TRANSPORTATION.	General Counsel	Special Assistant to the General Counsel.	DT100050	8/2/2013
	Public Affairs	Associate Director for Speech-Writing.	DT120057	8/16/2013
		Press Secretary	DT120026	8/23/2013
ENVIRONMENTAL PROTECTION AGENCY.	Office of the Associate Administrator for Congressional and Intergovernmental Relations.	Deputy Associate Administrator for Office of Congressional Affairs.	EP110039	8/10/2013
	Office of the Administrator	Deputy White House Liaison.	EP120008	8/24/2013
EXPORT-IMPORT BANK.	Export-Import Bank	Deputy Chief of Staff	EB110012	8/23/2013
FEDERAL ENERGY REGULATORY COMMISSION.	Office of the Chairman	Program Analyst	DR110007	8/10/2013
FEDERAL MINE SAFETY AND HEALTH REVIEW COMMISSION.	Office of the Commissioners	Attorney Advisor (General).	FR120001	8/7/2013

Authority: 5 U.S.C. 3301 and 3302; E.O. 10577, 3 CFR, 1954–1958 Comp., p. 218.

U.S. Office of Personnel Management.

Katherine Archuleta,
Director.

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OFFICE OF PERSONNEL MANAGEMENT

Excepted Service

AGENCY: U.S. Office of Personnel Management (OPM).

ACTION: Notice.

SUMMARY: This notice identifies Schedule A, B, and C appointing authorities applicable to a single agency that were established or revoked from September 1, 2013, to September 30, 2013.

FOR FURTHER INFORMATION CONTACT:

Senior Executive Resources Services, Senior Executive Services and Performance Management, Employee Services, 202–606–2246.

SUPPLEMENTARY INFORMATION: In accordance with 5 CFR 213.103, Schedule A, B, and C appointing authorities available for use by all agencies are codified in the Code of Federal Regulations (CFR). Schedule A, B, and C appointing authorities applicable to a single agency are not codified in the CFR, but the Office of Personnel Management (OPM) publishes a notice of agency-specific authorities established or revoked each month in the **Federal Register** at www.gpo.gov/fdsys/. OPM also publishes an annual notice of the consolidated listing of all Schedule A, B, and C appointing authorities, current as of June 30, in the **Federal Register**.

Schedule A

11. Department of Homeland Security (Sch. A, 213.3111)

(d) General—

(1) Not to exceed 1,000 positions to perform cyber risk and strategic analysis, incident handling and malware/vulnerability analysis, program management, distributed control systems security, cyber incident response, cyber exercise facilitation and management, cyber vulnerability detection and assessment, network and systems engineering, enterprise architecture, intelligence analysis, investigation, investigative analysis and cyber-related infrastructure interdependency analysis requiring unique qualifications currently not established by OPM. Positions will be at the General Schedule (GS) grade levels 09–15. No new appointments may be made under this authority after December 31, 2014.

Schedule B

No schedule B authorities to report during September 2013.

Schedule C

The following Schedule C appointing authorities were approved during September 2013.

Agency name	Organization name	Position title	Authorization number	Effective date
DEPARTMENT OF AGRICULTURE.	Rural Housing Service	State Director—Hawaii	DA130150	9/19/2013
	Farm Service Agency	State Executive Director—West Virginia.	DA130190	9/19/2013
		State Executive Director—Pennsylvania.	DA130194	9/19/2013
		State Executive Director—New Jersey.	DA130202	9/19/2013
	Office of the Under Secretary for Rural Development.	Special assistant	DA130218	9/5/2013
	Office of the Assistant Secretary for Congressional Relations.	Confidential Assistant	DA130217	9/6/2013
DEPARTMENT OF COMMERCE.	Office of Communications	Deputy Director	DA130220	9/19/2013
	Office of the Director	Director, Office of Faith Based and Neighborhood Partnerships.	DC130090	9/4/2013
	Office of the Chief of Staff	Director of Scheduling and Advance	DC130093	9/4/2013
	Office of the Deputy Secretary	Special Assistant	DC130094	9/4/2013
COMMISSION ON CIVIL RIGHTS.	Office of the General Counsel	Special Assistant	DC130091	9/9/2013
	Commissioners	Special Assistant	CC130006	9/11/2013
DEPARTMENT OF DEFENSE.	Office of the Assistant Secretary of Defense (International Security Affairs).	Special Assistant for Europe and NATO.	DD130120	9/6/2013
DEPARTMENT OF EDUCATION.	Office of the Secretary	Advance Officer	DD130123	9/25/2013
	Office of the General Counsel	Confidential Assistant	DB130065	9/4/2013
	Office of the Under Secretary	Special Assistant	DB130059	9/11/2013
		Executive Director	DB130067	9/12/2013
		Deputy Director, White House Initiative on Historically Black College.	DB130068	9/12/2013
	Office of Elementary and Secondary Education.	Deputy Assistant Secretary for Policy and Programs.	DB130069	9/13/2013
	Office of the Deputy Secretary	Special Counsel	DB130071	9/20/2013
	Office of the Under Secretary	Associate Assistant Deputy Secretary	DB130072	9/20/2013
	Office of Vocational and Adult Education.	Confidential Assistant	DB130073	9/23/2013
	Office of Nuclear Energy	Special Advisor	DE130101	9/4/2013
DEPARTMENT OF ENERGY.	Office of Public Affairs	Speechwriter	DE130102	9/4/2013
	Office of Management	Special Assistant	DE130097	9/11/2013
	Loan Programs Office	Special Advisor	DE130117	9/24/2013
	Office of Assistant Secretary for Congressional and Intergovernmental Affairs.	Director of External Affairs	DE130118	9/30/2013
	Office of Assistant Secretary for Congressional and Intergovernmental Affairs.	Senior Advisor	DE130122	9/30/2013
	Office of the Associate Administrator for Congressional and Intergovernmental Relations.	Deputy Associate Administrator for Office of Congressional Affairs.	EP130031	9/11/2013
ENVIRONMENTAL PROTECTION AGENCY.	Office of the Associate Administrator for External Affairs and Environmental Education.	Senior Speech Writer	EP130049	9/19/2013
	Office of the Chairman	Program Analyst	DR130007	9/6/2013
FEDERAL ENERGY REGULATORY COMMISSION.	Office of Communications and Marketing.	Director of Public Engagement	GS130025	9/27/2013
GENERAL SERVICES ADMINISTRATION. DEPARTMENT OF HEALTH AND HUMAN SERVICES.	Office of Intergovernmental and External Affairs.	Regional Director, Chicago, Illinois—Region V	DH130119	9/5/2013
	Office of the Assistant Secretary for Planning and Evaluation.	Director of Public Health Policy (Office of Health Reform).	DH130122	9/5/2013
	Administration for Community Living	Confidential Assistant	DH130121	9/5/2013
	Office of the Assistant Secretary for Health.	Associate Director	DH130117	9/12/2013

Agency name	Organization name	Position title	Authorization number	Effective date
DEPARTMENT OF HOMELAND SECURITY.	Office of the Assistant Secretary for Public Affairs.	Confidential Assistant	DH130120	9/5/2013
	Office of the Assistant Secretary for Public Affairs.	Digital Communications Coordinator	DH130129	9/27/2013
		Press Secretary	DM130168	9/13/2013
	Office of the Under Secretary for National Protection and Programs Directorate.	Advisor	DM130166	9/16/2013
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT.	Office of the Chief of Staff	White House Liaison	DM130171	9/24/2013
	Federal Emergency Management Agency.	Associate Director for Public Affairs/ Press Secretary.	DM130178	9/30/2013
	Office of the Secretary	Special Assistant (2)	DU130038	9/4/2013
DEPARTMENT OF JUSTICE.	Office of Legislative Affairs	Chief of Staff and Attorney Advisor ...	DU130041	9/11/2013
			DJ130089	9/4/2013
DEPARTMENT OF LABOR.	Office of Public Affairs	Speechwriter	DJ130093	9/5/2013
	Office of Public Affairs	Director of External Partnership	DL130056	9/5/2013
OFFICE OF NATIONAL DRUG CONTROL POLICY.	Office of the Secretary	Special Assistant	DL130059	9/19/2013
		Director of Public Engagement	DL130058	9/13/2013
		Special Assistant	DL130063	9/26/2013
	Intergovernmental Public Liaison	Public Engagement Specialist	QQ130004	9/16/2013
OFFICE OF SCIENCE AND TECHNOLOGY POLICY.	Office of the Director	Policy and Administrative Coordinator	QQ130005	9/18/2013
	Office of Science and Technology Policy.	Executive Assistant	TS130003	9/16/2013
OVERSEAS PRIVATE INVESTMENT CORPORATION.	Office of the President	Deputy Chief of Staff	PQ130004	9/27/2013
PRESIDENT'S COMMISSION ON WHITE HOUSE FELLOWSHIPS.	President's Commission on White House Fellowships.	Public Relations Associate	WH130003	9/11/2013
SECURITIES AND EXCHANGE COMMISSION.	Office of the Chairman	IT Specialist	SE130006	9/19/2013
SMALL BUSINESS ADMINISTRATION.	Office of the Administrator	Deputy Scheduler	SB130023	9/5/2013
SOCIAL SECURITY ADMINISTRATION.	Office of the Commissioner	Senior Advisor	SZ130016	9/20/2013
DEPARTMENT OF STATE.	Office of the Secretary	Senior Advisor	DS130122	9/6/2013
		Deputy Chief of Staff for Policy	DS130116	9/11/2013
		Supervisory Public Affairs Specialist	DS130060	9/11/2013
	Office of the Under Secretary for Management.	Staff Assistant	DS130124	9/18/2013
		Special Assistant	DS130120	9/19/2013
	Office of the Under Secretary for Arms Control and International Security Affairs.	Special Assistant	DS130120	9/19/2013
TRADE AND DEVELOPMENT AGENCY.	Office of the Director	Chief of Staff	TD130004	9/26/2013
DEPARTMENT OF TRANSPORTATION.	Office of the Secretary	White House Liaison	DT130041	9/9/2013

The following Schedule C appointing authorities were revoked during September 2013.

Agency name	Organization name	Position title	Authorization number	Vacate date
DEPARTMENT OF EDUCATION.	Office of the General Counsel	Confidential Assistant	DB120017	9/7/2013
	Office of the Under Secretary	Special Assistant	DB120021	9/21/2013

Agency name	Organization name	Position title	Authorization number	Vacate date
DEPARTMENT OF HEALTH AND HUMAN SERVICES.	Office of the Assistant Secretary for Public Affairs.	Senior Speechwriter	DH130040	9/6/2013
DEPARTMENT OF HOMELAND SECURITY.	Office of the Assistant Secretary for Public Affairs.	Director of Strategic Communications	DM100106	9/7/2013
	Office of the Assistant Secretary for Policy.	Special Assistant	DM110195	9/7/2013
	Immediate Office of the Deputy Secretary.	Confidential Assistant	DM090285	9/21/2013
	Office of the Assistant Secretary for Public Affairs.	Deputy Press Secretary	DM110234	9/21/2013
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT.	Northwest/Alaska (Seattle)	Regional Administrator	DU100045	9/7/2013
	Office of the General Counsel	Senior Counsel	DU110014	9/14/2013
DEPARTMENT OF JUSTICE.	Office of Legislative Affairs	Attorney Advisor	DJ110034	9/7/2013
	Civil Rights Division	Counsel	DJ100176	9/21/2013
DEPARTMENT OF LABOR.	Office of Public Affairs	Special Assistant	DL090126	9/7/2013
DEPARTMENT OF STATE.	Office of the Under Secretary for Arms Control and International Security Affairs.	Staff Assistant	DS120073	9/4/2013
DEPARTMENT OF THE INTERIOR.	Secretary's Immediate Office	Special Assistant	DI120031	9/6/2013
	Bureau of Safety and Environmental Enforcement.	Senior Advisor	DI110089	9/27/2013
DEPARTMENT OF TRANSPORTATION.	Office of the Secretary	White House Liaison	DT090059	9/9/2013
ENVIRONMENTAL PROTECTION AGENCY.	Office of the Associate Administrator for Congressional and Intergovernmental Relations.	Senior Advisor	EP130019	9/14/2013
	Office of the Associate Administrator for External Affairs and Environmental Education.	Director, Office of Public Engagement.	EP110021	9/21/2013
EXPORT-IMPORT BANK.	Export Finance	Senior Vice-President for Export Finance.	EB060001	9/30/2013
OFFICE OF SCIENCE AND TECHNOLOGY POLICY.	Office of Science and Technology Policy.	Executive Assistant	TS100005	9/6/2013
TRADE AND DEVELOPMENT AGENCY.	Office of the Director	Chief of Staff	TD120001	9/28/2013

Authority: 5 U.S.C. 3301 and 3302; E.O. 10577, 3 CFR, 1954-1958 Comp., p. 218.

U.S. Office of Personnel Management.

Katherine Archuleta,

Director.

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SECURITIES AND EXCHANGE COMMISSION

Proposed Collection; Comment Request

Upon Written Request, Copies Available

From: Securities and Exchange Commission, Office of Investor Education and Advocacy, Washington, DC 20549-0213.

Extension:

Rule 301 and Forms ATS and ATS-R, SEC File No. 270-451, OMB Control No. 3235-0509.

Notice is hereby given that, pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*), the Securities and Exchange Commission ("Commission") is soliciting comments on the collection of information summarized below. The Commission plans to submit this existing collection of information to the Office of Management and Budget for extension and approval.

Regulation ATS provides a regulatory structure for alternative trading systems. Regulation ATS allows an alternative trading system to choose between registering as a broker-dealer and complying with Regulation ATS, or registering as a national securities exchange. Regulation ATS provides the regulatory framework for those

alternative trading systems that choose to be regulated as broker-dealers. Rule 301 of Regulation ATS contains certain notice and reporting requirements, as well as additional obligations that apply only to alternative trading systems with significant volume. The Rule requires all alternative trading systems that wish to comply with Regulation ATS to file an initial operation report on Form ATS. The initial operation report requires information regarding operation of the system including the method of operation, access criteria and the types of securities traded. Alternative trading systems are also required to supply updates on Form ATS to the Commission, describing material changes to the system, and quarterly transaction reports on Form ATS-R. Alternative trading systems are also required to file cessation of operations reports on Form ATS.