

Exchange rules and applicable federal securities laws.

(4) The Exchange may obtain information regarding trading in the Shares and the underlying shares in investment companies, futures, and options via the ISG, from other exchanges who are members or affiliates of the ISG or with which the Exchange has entered into a comprehensive surveillance sharing agreement.<sup>36</sup>

(5) Prior to the commencement of trading, the Exchange will inform its members in an Information Circular ("Circular") of the special characteristics and risks associated with trading the Shares. Specifically, the Circular will discuss the following: (a) The procedures for purchases and redemptions of Shares in Creation Units (and that Shares are not individually redeemable); (b) BATS Rule 3.7, which imposes suitability obligations on Exchange members with respect to recommending transactions in the Shares to customers; (c) how information regarding the IIV is disseminated; (d) the risks involved in trading the Shares during the Pre-Opening<sup>37</sup> and After Hours Trading Sessions<sup>38</sup> when an updated IIV will not be calculated or publicly disseminated; (e) the requirement that members deliver a prospectus to investors purchasing newly issued Shares prior to or concurrently with the confirmation of a transaction; and (f) trading information.

(6) For initial and continued listing, the Fund must be in compliance with Rule 10A-3 under the Act.<sup>39</sup>

(7) The Fund may hold up to an aggregate amount of 15% of its net assets in illiquid securities (calculated at the time of investment), including Rule 144A securities deemed illiquid by the Adviser under the 1940 Act. The Fund will monitor its portfolio liquidity on an ongoing basis to determine whether, in light of current circumstances, an adequate level of liquidity is being maintained, and will consider taking appropriate steps in order to maintain adequate liquidity if, through a change in values, net assets, or other circumstances, more than 15% of the Fund's net assets are held in illiquid securities.

<sup>36</sup> The Exchange represents that all of the investment company securities, futures, and options will trade on markets that are members of ISG or with which the Exchange has in place a comprehensive surveillance sharing agreement.

<sup>37</sup> The Pre-Opening Session is from 8:00 a.m. to 9:30 a.m. Eastern Time.

<sup>38</sup> The After Hours Trading Session is from 4:00 p.m. to 5:00 p.m. Eastern Time.

<sup>39</sup> See 17 CFR 240.10A-3.

(8) The Fund may engage in derivatives transactions, including transactions in futures contracts, options, and swaps, to a limited extent (under normal circumstances, less than 20% of the Fund's net assets). The derivatives will be exchange-traded or centrally cleared, and they will be collateralized.

(9) The Fund's investments will be consistent with the Fund's investment objective and will not be used to enhance leverage.

(10) The Fund's portfolio will meet certain criteria for index-based, fixed income exchange-traded funds contained in Rule 14.11(c)(4)(B)(i).<sup>40</sup>

(11) The Fund will not invest in non-U.S. equity securities.

(12) A minimum of 100,000 Shares will be outstanding at the commencement of trading on the Exchange.

This approval order is based on all of the Exchange's representations and description of the Fund, including those set forth above and in the Notice.

For the foregoing reasons, the Commission finds that the proposed rule change is consistent with Section 6(b)(5) of the Act<sup>41</sup> and the rules and regulations thereunder applicable to a national securities exchange.

#### IV. Conclusion

*It is therefore ordered*, pursuant to Section 19(b)(2) of the Act,<sup>42</sup> that the proposed rule change (SR-BATS-2013-051) be, and it hereby is, approved.

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.<sup>43</sup>

**Kevin M. O'Neill,**

*Deputy Secretary.*

[FR Doc. 2013-29386 Filed 12-9-13; 8:45 am]

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#### SECURITIES AND EXCHANGE COMMISSION

[File No. 500-1]

#### Guar Global Ltd.; Order of Suspension of Trading

December 6, 2013.

It appears to the Securities and Exchange Commission that the public interest and the protection of investors require a suspension of trading in the securities of Guar Global Ltd. ("Guar Global") because of concerns regarding the accuracy and adequacy of information in the marketplace and

<sup>40</sup> See *supra* note 20 and accompanying text.

<sup>41</sup> 15 U.S.C. 78f(b)(5).

<sup>42</sup> 15 U.S.C. 78s(b)(2).

<sup>43</sup> 17 CFR 200.30-3(a)(12).

potentially manipulative transactions in Guar Global's common stock. Guar Global is a Nevada corporation based in McKinney, Texas. It is quoted on OTC Link under the symbol GGBL.

The Commission is of the opinion that the public interest and the protection of investors require a suspension of trading in the securities of the above-listed company.

Therefore, it is ordered, pursuant to Section 12(k) of the Securities Exchange Act of 1934, that trading in the securities of the above-listed company is suspended for the period from 9:30 a.m. EST on December 6, 2013 through 11:59 p.m. EST on December 19, 2013.

By the Commission.

**Elizabeth M. Murphy,**

*Secretary.*

[FR Doc. 2013-29529 Filed 12-6-13; 4:15 pm]

**BILLING CODE 8011-01-P**

#### SECURITIES AND EXCHANGE COMMISSION

[File No. 500-1]

#### Aden Solutions, Inc.; Order of Suspension of Trading

December 6, 2013.

It appears to the Securities and Exchange Commission that there is a lack of current and accurate information concerning the securities of Aden Solutions, Inc. The company has not filed any periodic reports since the period ended September 30, 2011 and there are questions regarding the accuracy of publicly available information about the company.

The Commission is of the opinion that the public interest and the protection of investors require a suspension of trading in the securities of the above-listed company.

*Therefore, it is ordered*, pursuant to Section 12(k) of the Securities Exchange Act of 1934, that trading in the securities of the above-listed company is suspended for the period from 9:30 a.m. EST on December 6, 2013, through 11:59 p.m. EST on December 19, 2013.

By the Commission.

**Jill M. Peterson,**

*Assistant Secretary.*

[FR Doc. 2013-29528 Filed 12-6-13; 4:15 pm]

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#### SMALL BUSINESS ADMINISTRATION

#### Reporting and Recordkeeping Requirements Under OMB Review

**AGENCY:** Small Business Administration.

**ACTION:** Notice of 30 day Reporting Requirements Submitted for OMB Review.

**SUMMARY:** Under the provisions of the Paperwork Reduction Act (44 U.S.C. Chapter 35), agencies are required to submit proposed reporting and recordkeeping requirements to OMB for review and approval, and to publish a notice in the **Federal Register** notifying the public that the agency has made such a submission.

**DATES:** Submit comments on or before January 9, 2014. If you intend to comment but cannot prepare comments promptly, please advise the OMB Reviewer and the Agency Clearance Officer before the deadline.

*Copies:* Request for clearance (OMB 83–1), supporting statement, and other documents submitted to OMB for review may be obtained from the Agency Clearance Officer.

**ADDRESSES:** Address all comments concerning this notice to: *Agency Clearance Officer*, Curtis Rich, Small Business Administration, 409 3rd Street, SW., 5th Floor, Washington, DC 20416; and *OMB Reviewer*, Office of Information and Regulatory Affairs, Office of Management and Budget, New Executive Office Building, Washington, DC 20503.

**FOR FURTHER INFORMATION CONTACT:** Curtis Rich, Agency Clearance Officer, (202) 205–7030 [curtis.rich@sba.gov](mailto:curtis.rich@sba.gov).

*Abstract:* SBA Direct is an optional feature of SBA.gov that helps customized, relevant SBA.gov information directly to the user which will help site visitors, including small business owners, the ability to quickly and efficiently locate content on SBA.gov. SBA Community is also an optional feature of SBA.gov which allows users to contribute to SBA.gov by posting success stories, comments, or questions in a forum interface. The community will allow site visitors, including small businesses the ability to ask questions regarding starting and managing a business.

**SUPPLEMENTARY INFORMATION:**

*Title:* SBA Direct and SBA Online Community.

*Frequency:* On Occasion.

*SBA Form Number:* N/A.

*Description of Respondents:* Entrepreneurs, lenders, small business owners, and among others.

*Responses:* 413,000.

*Annual Burden:* 4,325.

**Curtis Rich,**

*Management Analyst.*

[FR Doc. 2013–29371 Filed 12–9–13; 8:45 am]

**BILLING CODE P**

## SMALL BUSINESS ADMINISTRATION

### Reporting and Recordkeeping Requirements Under OMB Review

**AGENCY:** Small Business Administration.

**ACTION:** 30-Day Notice.

**SUMMARY:** The Small Business Administration (SBA) is publishing this notice to comply with requirements of the Paperwork Reduction Act (PRA) (44 U.S.C. Chapter 35), which requires agencies to submit proposed reporting and recordkeeping requirements to OMB for review and approval, and to publish a notice in the **Federal Register** notifying the public that the agency has made such a submission. This notice also allows an additional 30 days for public comments.

**DATES:** Submit comments on or before January 9, 2014.

**ADDRESSES:** Comments should refer to the information collection by name and/or OMB Control Number and should be sent to: *Agency Clearance Officer*, Curtis Rich, Small Business Administration, 409 3rd Street SW., 5th Floor, Washington, DC 20416; and *SBA Desk Officer*, Office of Information and Regulatory Affairs, Office of Management and Budget, New Executive Office Building, Washington, DC 20503.

**FOR FURTHER INFORMATION CONTACT:**

Curtis Rich, Agency Clearance Officer, (202) 205–7030 [curtis.rich@sba.gov](mailto:curtis.rich@sba.gov).

*Copies:* A copy of the Form OMB 83–1, supporting statement, and other documents submitted to OMB for review may be obtained from the Agency Clearance Officer.

**SUPPLEMENTARY INFORMATION:** The Small Business Investment Act authorizes SBA to guarantee a debenture issued by a Certified Development Company (CDC) participating in SBA's 504 Loan Program. The proceeds from each debenture are used to fund loans ("504 loans") to eligible small business concerns (SBCs). 15 U.S.C. 697(a). The first information collection described below, SBA Form 1244 (OMB Control Number 3245–0071), is the Application for Section 504 Loans. The second information collection, the CDC Annual Report Guide, SBA Form 1253 (OMB Control Number 3245–0074) relates to the annual report required from each CDC as stated in 13 CFR 120.830. Prior notice of proposed changes to these information collections was published in the **Federal Register** on August 30, 2013, at 78 FR 53816. The changes are necessary to conform the forms to recent updates in the Lender and Development Company Loan Programs standard

operating procedures, designated as SOP 50 10 5 (F). The changes include revisions to the exhibits required to be attached to the 504 loan application such as a clarification of who is required to submit credit reports, and addition of a requirement to submit a Credit Alert Verification Reporting System (CAIVRS) report to document that an applicant, guarantors or affiliates have no prior loss to the government or delinquent Federal debt. Changes to the CDC Annual Report Guide include a clarification of the consequences for failure to file the report in a timely manner, and clarification of the requirement to submit financial statements that have been reviewed by an independent CPA.

SBA notes that these changes resulting from updates to the SOP are in addition to the changes that the Agency proposed in the February 25, 2013, publication of *504 and 7(a) Loan Program Updates* notice of proposed rulemaking. (78 FR 12633). That rulemaking is still pending final review and approval. As soon as SBA receives that approval it will make any additional and necessary changes to Forms 1244 and 1253 to conform to the final rule.

### Solicitation of Public Comments

Comments may be submitted on (a) whether the collection of information is necessary for the agency to properly perform its functions; (b) whether the burden estimates are accurate; (c) whether there are ways to minimize the burden, including through the use of automated techniques or other forms of information technology; and (d) whether there are ways to enhance the quality, utility, and clarity of the information.

### Summary of Information Collections

(1) *Title:* Application for Section 504 Loan.

*Description of Respondents:* Small Business Concerns applying for a Section 504 loan and Certified Development Companies.

*Form Number:* SBA Form 1244 collects information that is used to determine the creditworthiness and repayment ability of the small business concern and its eligibility for SBA financial assistance; as well as the terms and conditions of the 504 loan. Form 1244 is also used by CDCs to request SBA's guarantee on the debenture.

*Estimated Annual Respondents:* 7,000.

*Estimated Annual Responses:* 7,000.

*Estimated Annual Hour Burden:* 14,613.

(2) *Title:* Certified Development Company (CDC) Annual Report Guide.