

#### IV. Conclusion

On the basis of the foregoing, the Commission finds that the proposal is consistent with the requirements of the Act and in particular with the requirements of Section 17A of the Act<sup>9</sup> and the rules and regulations thereunder.

*It is therefore ordered*, pursuant to Section 19(b)(2) of the Act,<sup>10</sup> that the proposed rule change (File No. SR-ICC-2016-014) be, and hereby is, approved.<sup>11</sup>

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.<sup>12</sup>

**Eduardo A. Aleman,**

*Deputy Secretary.*

[FR Doc. 2017-02185 Filed 2-1-17; 8:45 am]

**BILLING CODE 8011-01-P**

#### SECURITIES AND EXCHANGE COMMISSION

[Release No. IC-32456]

#### Notice of Applications for Deregistration Under Section 8(f) of the Investment Company Act of 1940

January 27, 2017.

The following is a notice of applications for deregistration under section 8(f) of the Investment Company Act of 1940 for the month of January 2017. A copy of each application may be obtained via the Commission's Web site by searching for the file number, or for an applicant using the Company name box, at <http://www.sec.gov/search/search.htm> or by calling (202) 551-8090. An order granting each application will be issued unless the SEC orders a hearing. Interested persons may request a hearing on any application by writing to the SEC's Secretary at the address below and serving the relevant applicant with a copy of the request, personally or by mail. Hearing requests should be received by the SEC by 5:30 p.m. on February 21, 2017, and should be accompanied by proof of service on applicants, in the form of an affidavit or, for lawyers, a certificate of service. Pursuant to Rule 0-5 under the Act, hearing requests should state the nature of the writer's interest, any facts bearing upon the desirability of a hearing on the matter, the reason for the request, and the issues contested. Persons who wish

to be notified of a hearing may request notification by writing to the Commission's Secretary.

**ADDRESSES:** The Commission: Secretary, U.S. Securities and Exchange Commission, 100 F Street NE., Washington, DC 20549-1090.

#### FOR FURTHER INFORMATION CONTACT:

Jessica Shin, Attorney-Adviser, at (202) 551-5921 or Chief Counsel's Office at (202) 551-6821; SEC, Division of Investment Management, Chief Counsel's Office, 100 F Street NE., Washington, DC 20549-8010.

#### Nuveen New York Performance Plus Municipal Fund Inc.

[File No. 811-05931]

**Summary:** Applicant, a closed-end investment company, seeks an order declaring that it has ceased to be an investment company. The applicant has transferred its assets to Nuveen New York Dividend Advantage Municipal Fund and, on May 26, 2015, made a final distribution to its shareholders based on net asset value. Expenses of approximately \$38,358 incurred in connection with the reorganization were paid by applicant and approximately \$283,472 were paid by the acquiring fund.

**Filing Dates:** The application was filed on January 22, 2016, and amended on November 14, 2016, and December 14, 2016.

**Applicant's Address:** 333 West Wacker Drive, Chicago, Illinois 60606.

#### Corsair Opportunity Fund

[File No. 811-22978]

**Summary:** Applicant, a closed-end investment company, seeks an order declaring that it has ceased to be an investment company. On December 15, 2016, applicant made a liquidating distribution to its shareholders, based on net asset value. Expenses of \$5,009 incurred in connection with the liquidation were paid by applicant's investment adviser.

**Filing Date:** The application was filed on December 16, 2016.

**Applicant's Address:** 366 Madison Avenue, 12th Floor, New York, New York 10017.

#### SmartX ETF Trust

[File No. 811-22825]

**Summary:** Applicant seeks an order declaring that it has ceased to be an investment company. Applicant has never made a public offering of its securities and does not propose to make a public offering or engage in business of any kind.

**Filing Dates:** The application was filed on December 9, 2016, and amended on January 5, 2017.

**Applicant's Address:** c/o Guinness Atkinson Asset Management Inc., 21550 Oxnard Street, Suite 850, Woodland Hills, California 91367.

#### Vantagepoint Funds

[File No. 811-08941]

**Summary:** Applicant seeks an order declaring that it has ceased to be an investment company. On October 14, 2016, applicant made a final liquidating distribution to its shareholders, based on net asset value. Applicant has established a liquidating trust to provide for the payment of certain identified contingent claims with respect to certain series of applicant. The parent company of applicant's investment adviser serves as administrator of the liquidating trust. Assets remaining in the liquidating trust will be distributed to its beneficiaries after the satisfaction of all claims. Expenses of \$1,693,244 incurred in connection with the liquidation were paid by applicant and applicant's investment adviser. Applicant has retained \$4,232,893 in cash and cash equivalents at its custodian bank to pay for certain accrued liabilities.

**Filing Dates:** The application was filed on November 14, 2016 and amended on January 5, 2017.

**Applicant's Address:** 777 North Capitol Street NE., Suite 600, Washington, District of Columbia 20002.

#### Matthews A Share Selections Fund, LLC

[File No. 811-22809]

**Summary:** Applicant seeks an order declaring that it has ceased to be an investment company. On September 30, 2016, applicant made a liquidating distribution to its shareholders, based on net asset value. Expenses of approximately \$40,000 incurred in connection with the liquidation were paid by the former sole shareholder of each series of applicant. Applicant has retained a de minimis amount for the purpose of completing certain regulatory and liquidation activities in China. These de minimis amounts will be paid to the former sole shareholder of each series of applicant.

**Filing Dates:** The application was filed on October 20, 2016, and amended on December 19, 2016 and January 5, 2017.

**Applicant's Address:** Four Embarcadero Center, Suite 550, San Francisco, California 94111.

<sup>9</sup> 15 U.S.C. 78q-1.

<sup>10</sup> 15 U.S.C. 78s(b)(2).

<sup>11</sup> In approving the proposed rule change, the Commission considered the proposal's impact on efficiency, competition and capital formation. 15 U.S.C. 78c(f).

<sup>12</sup> 17 CFR 200.30-3(a)(12).

**The Wall Street Fund, Inc.**

[File No. 811-00515]

*Summary:* Applicant seeks an order declaring that it has ceased to be an investment company. Applicant has transferred its assets to a corresponding series of the Wall Street EWM Funds Trust and, on September 30, 2014, made a final distribution to its shareholders based on net asset value. Expenses of \$74,844 incurred in connection with the reorganization were paid by applicant and applicant's investment adviser.

*Filing Date:* The application was filed on December 20, 2016.

*Applicant's Address:* 55 East 52nd Street, 23rd Floor, New York, New York 10055.

**Salient MLP Growth Fund**

[File No. 811-22846]

*Summary:* Applicant, a closed-end investment company, seeks an order declaring that it has ceased to be an investment company. Applicant has never made a public offering of its securities and does not propose to make a public offering or engage in business of any kind.

*Filing Date:* The application was filed on December 21, 2016.

*Applicant's Address:* 4265 San Felipe, Suite 800, Houston, Texas 77027.

**OneAmerica Funds, Inc.**

[File No. 811-05850]

*Summary:* Applicant seeks an order declaring that it has ceased to be an investment company. On December 9, 2016, applicant made a liquidating distribution to its shareholders, based on net asset value. Expenses of approximately \$276,282 incurred in connection with the liquidation were paid by applicant's investment advisers.

*Filing Date:* The application was filed on December 23, 2016.

*Applicant's Address:* OneAmerican Square, Indianapolis, Indiana 46282.

**First Trust Convertible Securities Income Fund**

[File No. 811-23022]

*Summary:* Applicant, a closed-end investment company, seeks an order declaring that it has ceased to be an investment company. Applicant has never made a public offering of its securities and does not propose to make a public offering or engage in business of any kind.

*Filing Date:* The application was filed on December 27, 2016.

*Applicant's Address:* 120 East Liberty Drive, Suite 400, Wheaton, Illinois 60187.

**Calvert SAGE Fund**

[File No. 811-22212]

*Summary:* Applicant seeks an order declaring that it has ceased to be an investment company. The applicant has transferred its assets to Calvert Equity Portfolio, a series of Calvert Social Investment Fund and, on June 24, 2016, made a final distribution to its shareholders based on net asset value. Expenses of approximately \$116,963 incurred in connection with the reorganization were paid by applicant and applicant's investment adviser.

*Filing Date:* The application was filed on December 30, 2016.

*Applicant's Address:* 4550 Montgomery Avenue, Suite 1000N, Bethesda, Maryland 20814.

**SEI Liquid Asset Trust**

[File No. 811-03231]

*Summary:* Applicant seeks an order declaring that it has ceased to be an investment company. On July 22, 2016, applicant made a liquidating distribution to its shareholders, based on net asset value. Expenses of approximately \$79,758 incurred in connection with the liquidation were paid by applicant.

*Filing Date:* The application was filed on December 30, 2016.

*Applicant's Address:* One Freedom Valley Drive, Oaks, Pennsylvania 19456.

**CPG York Event Driven Strategies, LLC**

[File No. 811-23085]

*Summary:* Applicant, a closed-end investment company, seeks an order declaring that it has ceased to be an investment company. Applicant has never made a public offering of its securities and does not propose to make a public offering or engage in business of any kind.

*Filing Date:* The application was filed on December 30, 2016.

*Applicant's Address:* c/o Central Park Advisers, LLC, 805 Third Avenue, New York, New York 10022.

**LMP Real Estate Income Fund Inc.**

[File No. 811-21098]

*Summary:* Applicant, a closed-end investment company, seeks an order declaring that it has ceased to be an investment company. Applicant has transferred its assets to Legg Mason Funds Trust and, on June 10, 2016, made a final distribution to its shareholders based on net asset value. Expenses of \$635,553 incurred in connection with the reorganization were paid by applicant and applicant's investment adviser.

*Filing Date:* The application was filed on January 5, 2017.

*Applicant's Address:* 620 Eighth Avenue, New York, New York 10018.

**Western Asset Managed High Income Fund Inc.**

[File No. 811-07396]

*Summary:* Applicant, a closed-end investment company, seeks an order declaring that it has ceased to be an investment company. Applicant has transferred its assets to Western Asset High Income Opportunity Fund Inc. and, on August 29, 2016, made a final distribution to its shareholders based on net asset value. Expenses of \$792,374 incurred in connection with the reorganization were paid by applicant, applicant's investment adviser, and the acquiring fund.

*Filing Date:* The application was filed on January 5, 2017.

*Applicant's Address:* 620 Eighth Avenue, New York, New York 10018.

**Western Asset Global Partners Income Fund Inc.**

[File No. 811-07994]

*Summary:* Applicant, a closed-end investment company, seeks an order declaring that it has ceased to be an investment company. Applicant has transferred its assets to Western Asset Global High Income Fund Inc. and, on August 29, 2016, made a final distribution to its shareholders based on net asset value. Expenses of \$637,448 incurred in connection with the reorganization were paid by applicant, applicant's investment adviser, and the acquiring fund.

*Filing Date:* The application was filed on January 5, 2017.

*Applicant's Address:* 620 Eighth Avenue, New York, New York 10018.

**Endowment Institutional TEI Fund W, L.P.**

[File No. 811-22465]

*Summary:* Applicant, a closed-end investment company, seeks an order declaring that it has ceased to be an investment company. On July 1, 2016, applicant made a liquidating distribution to its shareholders, based on net asset value. No expenses were incurred in connection with the liquidation.

*Filing Date:* The application was filed on January 5, 2017.

*Applicant's Address:* 4265 San Felipe, 8th Floor, Houston, Texas 77027.

**American Funds Global High-Income Opportunities Fund**

[File No. 811-22745]

*Summary:* Applicant seeks an order declaring that it has ceased to be an investment company. Applicant has

never made a public offering of its securities and does not propose to make a public offering or engage in business of any kind.

*Filing Date:* The application was filed on January 13, 2017.

*Applicant's Address:* 6455 Irvine Center Drive, Irvine, California 92618.

#### **GAI Aurora Opportunities Fund, LLC**

[File No. 811-22516]

*Summary:* Applicant, a closed-end investment company, seeks an order declaring that it has ceased to be an investment company. The applicant has transferred its assets to GAI Corbin Multi-Strategy Fund, LLC and, on September 30, 2016, made a final distribution to its shareholders based on net asset value. Expenses of \$188,478 incurred in connection with the reorganization were paid by applicant and the acquiring fund.

*Filing Date:* The application was filed on January 23, 2017.

*Applicant's Address:* 401 South Tryon Street, Charlotte, North Carolina 28202.

For the Commission, by the Division of Investment Management, pursuant to delegated authority.

**Eduardo A. Aleman,**

*Assistant Secretary.*

[FR Doc. 2017-02180 Filed 2-1-17; 8:45 am]

**BILLING CODE 8011-01-P**

## **SECURITIES AND EXCHANGE COMMISSION**

[Release No. 34-79889; File No. SR-ICC-2017-001]

### **Self-Regulatory Organizations; ICE Clear Credit LLC; Notice of Filing and Immediate Effectiveness of Proposed Rule Change To Implement Collateral Fee Changes**

January 27, 2017.

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 ("Act")<sup>1</sup> and Rule 19b-4 thereunder<sup>2</sup> notice is hereby given that on January 23, 2017, ICE Clear Credit LLC ("ICC") filed with the Securities and Exchange Commission ("Commission") the proposed rule change as described in Items I, II, and III below, which Items have been prepared primarily by ICC. ICC filed the proposed rule change pursuant to Section 19(b)(3)(A) of the Act,<sup>3</sup> and Rule 19b-4(f)(2)<sup>4</sup> thereunder, so that the proposal was effective upon filing with the Commission. The

Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

#### **I. Clearing Agency's Statement of the Terms of Substance of the Proposed Rule Change, Security-Based Swap Submission, or Advance Notice**

The principal purpose of the proposed changes is to implement changes to the fee that ICC charges for U.S. Treasury securities collateral deposits.

#### **II. Clearing Agency's Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change, Security-Based Swap Submission, or Advance Notice**

In its filing with the Commission, ICC included statements concerning the purpose of and basis for the proposed rule change, security-based swap submission, or advance notice and discussed any comments it received on the proposed rule change, security-based swap submission, or advance notice. The text of these statements may be examined at the places specified in Item IV below. ICC has prepared summaries, set forth in sections (A), (B), and (C) below, of the most significant aspects of these statements.

##### *A. Clearing Agency's Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change, Security-Based Swap Submission, or Advance Notice*

The proposed revisions are intended to implement changes to the fee that ICC charges for U.S. Treasury securities collateral deposits. The proposed changes are described in detail as follows.

Currently, with respect to collateral deposited by Clearing Participants with ICC for the purpose of satisfying margin and Guaranty Fund requirements, ICC imposes a 5 basis point fee on U.S. Treasury securities collateral deposits.<sup>5</sup> The fee is calculated and charged monthly, and applies to both house and client accounts.<sup>6</sup>

Effective February 1, 2017, ICC will be changing the fee charged for U.S. Treasury securities collateral deposits from 5 basis points to 7.5 basis points. This fee will continue to be calculated and charged monthly, and will continue to apply to both house and client accounts. ICC believes this change will lead to an increase in the posting of cash collateral by Clearing Participants and their clients, as opposed to U.S. Treasury securities.

<sup>5</sup> See Securities Exchange Act Release 71511 (February 7, 2014), 79 FR 8760 (February 13, 2014) (SR-ICC-2014-01).

<sup>6</sup> See ICC Circular 2013/032, as modified by ICC Circular 2014/004.

ICC believes the proposed rule changes are consistent with the requirements of the Act including Section 17A of the Act.<sup>7</sup> More specifically, the proposed rule changes establish or change a member due, fee or other charge imposed by ICC under Section 19(b)(3)(A)(ii)<sup>8</sup> of the Act and Rule 19b-4(f)(2)<sup>9</sup> thereunder. ICC believes the proposed rule changes are consistent with the requirements of the Act and the rules and regulations thereunder applicable to ICC, in particular, to Section 17(A)(b)(3)(D),<sup>10</sup> because the proposed collateral fee change applies equally to all market participants and such fees are in-line with similar fees charged by market participants. Therefore the proposed changes provide for the equitable allocation of reasonable dues, fees and other charges among participants. As such, the proposed changes are appropriately filed pursuant to Section 19(b)(3)(A)<sup>11</sup> of the Act and paragraph (f)(2) of Rule 19b-4 thereunder.<sup>12</sup>

Further, ICC believes such changes are consistent with Section 17A(b)(3)(F),<sup>13</sup> because ICC believes that the collateral fee change will promote the prompt and accurate clearance and settlement of securities transactions, derivatives agreements, contracts, and transactions. The proposed collateral fee change is intended to increase cash collateral held at the clearing house, which would minimize liquidity risk and reduce the likelihood that assets securing participant obligations would be unavailable when ICC needs to draw on them, thus safeguarding ICC's ability to meet its settlement obligations.

##### *B. Clearing Agency's Statement on Burden on Competition*

ICC does not believe the proposed rule change would have any impact, or impose any burden, on competition. The proposed collateral fee change applies consistently across all market participants and implementation of the proposed collateral fee change does not preclude the implementation of similar fee changes by other market participants. Therefore, ICC does not believe the collateral fee change imposes any burden on competition that is inappropriate in furtherance of the purposes of the Act.

<sup>7</sup> 15 U.S.C. 78q-1.

<sup>8</sup> 15 U.S.C. 78s(b)(3)(A)(ii).

<sup>9</sup> 17 CFR 240.19b-4(f)(2).

<sup>10</sup> 15 U.S.C. 78q-1(b)(3)(D).

<sup>11</sup> 15 U.S.C. 78s(b)(3)(A).

<sup>12</sup> 17 CFR 240.19b-4(f)(2).

<sup>13</sup> 15 U.S.C. 78q-1(b)(3)(F).

<sup>1</sup> 15 U.S.C. 78s(b)(1).

<sup>2</sup> 17 CFR 240.19b-4.

<sup>3</sup> 15 U.S.C. 78s(b)(3)(A).

<sup>4</sup> 17 CFR 240.19b-4(f)(2).